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Ventrolateral Prefrontal Cortex Contributes to Human Motor Learning

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Abbreviated title: Prefrontal cortex in human motor learning 4 Neeraj Kumar^{1,2*}, Ananda Sidarta^{1,3*}, Chelsea Smith¹, David J. Ostry^{1,4#} 1. Department of Psychology, McGill University, Montreal, Canada, H3X1G1 2. Department of Liberal Arts, Indian Institute of Technology Hyderabad, Telangana, India, 502285 3. Rehabilitation Research Institute of Singapore, Nanyang Technological University, Singapore, 308232 4. Haskins Laboratories, New Haven, Connecticut, United States of America, 06511 * Equal contribution in the work 14 # Corresponding author David J. Ostry Department of Psychology, McGill University, Montreal, Canada, H3X1G1 email: david.ostry@mcgill.ca Number of pages: 18 Number of figures: 04 Number of words: abstract (242), introduction (598) and discussion (898). Conflict of interest statement: The authors have declared that no competing interests exist. Acknowledgments: This research was supported by a grant from the Canadian Institutes of Health Research (CIHR) PJT

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Ventrolateral Prefrontal Cortex Contributes to Human Motor Learning

Abstract

This study assesses the involvement in human motor learning, of the ventrolateral prefrontal cortex (BA 9/46v), a somatic region in the middle frontal gyrus. The potential involvement of this cortical area in motor learning is suggested by studies in non-human primates which have found anatomical connections between this area and sensorimotor regions in frontal and parietal cortex, and also with basal ganglia output zones. It is likewise suggested by electrophysiological studies which have shown that activity in this region is implicated in somatic sensory memory and is also influenced by reward. We directly tested the hypothesis that area 9/46v is involved in reinforcement-based motor learning in humans. Participants performed reaching movements to a hidden target and received positive feedback when successful. Prior to the learning task, we applied continuous theta burst stimulation (cTBS) to disrupt activity in 9/46v in the left or right hemisphere. A control group received sham cTBS. The data showed that cTBS to left 9/46v almost entirely eliminated motor learning, whereas learning was not different than sham stimulation when cTBS was applied to the same zone in the right hemisphere. Additional analyses showed that the basic reward-history-dependent pattern of movements was preserved but more variable following left hemisphere stimulation, which suggests an overall deficit in somatic memory for target location or target directed movement rather than reward processing per se. The results indicate that area 9/46v is part of the human motor learning circuit.

Significant Statement

Prefrontal cortex may contribute to motor learning as it is known to be involved in planning, executive control, and motivation or reward processing (Miller and Cohen, 2001). Here we focused on ventrolateral prefrontal cortex (BA 9/46v), an area which has been shown to be linked neuroanatomically and electro-physiologically to sensorimotor regions of the brain and to circuits involved in reinforcement. Using continuous theta burst stimulation (cTBS) to this region prior to a reinforcement-based motor learning task, we found a significant reduction in learning. This suggests that this zone in the lateral prefrontal cortex contributes to motor learning which is mediated by reward.

Introduction

The focus on 9/46v is motivated by both electrophysiological findings and neuroanatomical connectivity. Studies in non-human primates have identified a homologous somatic region in the inferior bank of the principal sulcus which is interconnected with areas PF and PFG in the inferior parietal lobe (or supramarginal gyrus in humans) and second somatosensory cortex in the parietal operculum (Preuss and Goldman‐Rakic, 1989; Petrides and Pandya, 2002) (for a summary, see (Yeterian et al., 2012)). This area also communicates with the hand area of ventral premotor cortex and likewise receives inputs from globus pallidus and substantia nigra of the basal ganglia (Middleton and Strick, 2002). In electrophysiological studies, this same region has been implicated in somatic sensory memory and 75 decision making (Romo et al., 1999).

We tested for the involvement of 9/46v using a reinforcement learning task. In reinforcement-based motor learning, positive feedback provides behavioral reinforcement, inducing plasticity in motor, somatic and reward-related networks (Bernardi et al., 2015; Sidarta et al., 2016). The involvement of the middle frontal gyrus in reinforced sequence learning has been demonstrated using repetitive TMS (Dayan et al., 2018). Area 9/46v involvement in both reinforcement learning (Fermin et al., 2016) and visuomotor adaptation (Anguera et al., 2010) has been observed in studies using fMRI. Other parts of the prefrontal 83 cortex, in particular, ventromedial prefrontal cortex and orbitofrontal cortex have been implicated in reward-based learning more generally. In the non-human primate literature, activity in dorsolateral prefrontal cortex during a delay period was found to be related to the amount of reward received and the type of responses to be performed (Hikosaka and Watanabe, 2000; Wallis and Miller, 2003). Moreover, 87 there is evidence that the lateral prefrontal cortex carries reciprocal projections with the midbrain dopaminergic neurons (Williams and Goldman-Rakic, 1998; Frankle et al., 2006), as well as with the orbitofrontal cortex (Barbas and Pandya, 1989).

Participants in the present study were assigned to one of three experimental conditions in which continuous theta-burst transcranial magnetic stimulation (cTBS) was applied to either left or right 9/46v, with the goal of disrupting activity in the target zone, or to a sham stimulation group. This was followed by a motor learning task in which participants performed reaching movements to a hidden target. The participants were given positive feedback when the movement was successful, that is, when it had landed in the target zone. We found that disruption of area 9/46v prior to learning had a detrimental effect on both learning rate and on the overall number of successful (and thus rewarded) movements. This is consistent with its participation in reinforcement-based motor learning.

Materials and Methods

Participants

Fifty-four healthy right-handed young adults (19 men, 35 women) were recruited and randomly 102 assigned into either a left hemisphere (left $9/46v$, N=18), right hemisphere (right $9/46v$, N=18), or sham stimulation condition (sham, N=18). Handedness was assessed using the Edinburgh handedness inventory eNeuro Accepted Manuscript

(Oldfield, 1971). All procedures were approved by the McGill University Faculty of Medicine

Institutional Review Board and participants provided written informed consent.

Experimental Design

Participants held a vertical handle attached to the end of a two degree-of-freedom robotic manipulandum (Interactive Motion Technologies). They were seated with their right shoulder abducted to about 70 degrees and the elbow supported by an air sled. A semi-silvered mirror, which served as a display screen, was placed just below eye level and blocked the vision of the arm and the robot handle (Figure 1A). A white start circle, 20 mm in diameter, was positioned on the display screen about 30 cm in front of the participant, on the body midline. A 1 cm white arc was shown on the left of the screen during familiarization trials (Figure 1B). During the familiarization phase, participants were instructed to move to any point on the arc after the "Go" cue appeared and to make straight movements without corrections. A cursor, which represented the instantaneous handle position in space, was removed once the arm moved outside of the white start circle. The required movement duration was 500 – 700 msec but there was no penalty if the movement did not end on time or outside the target arc. Once the movement ended, the robot brought the arm back to the start position.

Following the familiarization training, the target arc was removed. The participant was instructed to move towards the now hidden arc and was told there was a target located in the arc. Then, each participant made 15 movements without receiving feedback of any kind. A target direction was then set 124 for each subject separately to correspond to the direction of the first movement after the $15th$ trial that fell between 110 and 160 degrees (second quadrant at the left). Positive feedback (an animated explosion, a pleasant tone, and a score) was provided for this movement. Participants were told that their task was to repeat the same successful movement throughout the course of training. Positive feedback was dependent solely on movement direction at peak velocity although participants were provided feedback on distance for training purposes during familiarization trials. The width of the target zone was 5 degrees and positive feedback was provided if the angular deviation was within ± 2.5 degrees of the center line. The width and position of the reinforced direction were fixed. Altogether, the participants completed 4 blocks of 50 training trials with positive feedback when successful. This was followed by 25 further movement trials with no feedback. For these trials, participants were told to aim in the direction in which they had been rewarded previously. They were also told that no reward would be given even if they were accurate. The sequence of different phases of the experiment is shown in Figure 1C.

Stimulation Sites

Prior to the study, each participant underwent an MRI scan at the Montreal Neurological Institute Brain Imaging Centre. Structural images were acquired with a T1-weighted 3D MPRAGE sequence as 140 follows: TR = 2300 ms; TE = 2.98 ms; slices = 192; thickness = 1 mm (no gap); FA = 90° ; and FOV = 141 256 mm × 256 mm, iPAT mode = ON (acceleration factor 2×).

The stimulation location in area 9/46v was identified for each subject separately, in the following manner. The identification starts with pars opercularis and pars triangularis in the inferior frontal gyrus, which are separated by the ascending anterior ramus of the lateral fissure (Petrides and Pandya, 2002). This ascending sulcus runs up from the lateral fissure and is almost perpendicular to the inferior frontal sulcus. The stimulation site, as shown in Figure 1D, lies in the middle frontal gyrus, medial to ascending anterior ramus of the lateral fissure and between two posterior middle frontal gyrus sulci, the posterior middle frontal sulcus (anterior) and posterior middle frontal sulcus (intermediate) (Petrides, 2012). The mean stimulation location is shown in each hemisphere in standard MNI coordinates: (-46, 26, 30 mm) for the left 9/46v and (52, 26, 32 mm) for the right 9/46v. The stimulation site was marked and maintained using Brainsight (Rogue Research, Montreal, Canada). The TMS coil position was tracked using a three-dimensional optical system (Polaris System, Northern Digital, Bakersfield, CA, United States).

Stimulation Protocol

The theta-burst magnetic stimulation magnitude was based on the resting motor threshold (RMT) in primary motor cortex. The position at which left or right motor cortex was maximally excitable in eliciting motor-evoked potentials (MEPs) in the contralateral FDI muscle was determined, using single-pulse TMS (Magstim200 stimulator). The coil was placed tangentially on the scalp with the handle pointing backward and laterally at a 45° angle away from the midline. The EMG response of the FDI muscle was recorded using Ag-AgCl surface electrodes. The RMT was defined as the minimum intensity required to elicit at least 5 MEPs (>50 mV peak-to-peak amplitude) in 10 consecutive single-pulse stimulations.

cTBS (Goldsworthy et al., 2011) was used to disrupt neural activity in left or right 9/46v prior to learning. cTBS was applied in two trains (10 minutes apart) of repetitive biphasic magnetic pulses (Magstim Super Rapid Stimulator) at 70% intensity of the resting motor threshold for the FDI muscle (based on left and right M1 separately, recorded using a Magstim 200 monophasic stimulator). Each train of cTBS comprised 600 pulses applied in bursts of three pulses at 50 Hz, with bursts repeated at a frequency of 5 Hz, corresponding to a total train length of 40s. cTBS stimulation was delivered with the coil handle pointed downward.

To test for possible indirect effects of cTBS on motor cortex, we applied single-pulse TMS to the motor

hotspot, at an intensity sufficient to evoke 20 MEPs of approximately 500-1000 µV (peak-to-peak

amplitude) both prior to stimulation and at the same intensity, 10 min post cTBS.

Statistical Analysis

- 190 effect of reward history on movement variability from n^{th} to $n+1^{th}$ trial in different experimental
- conditions. Post-hoc tests were corrected for multiple comparisons.
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- To evaluate possible effects of cTBS on motor cortex, MEPs recorded post-cTBS were expressed as a percentage of pre-cTBS MEPs, using mean MEP amplitude on a per subject basis. One-way ANOVA was used to test for the difference between experimental conditions.

Results

- Participants held the handle of a robotic manipulandum (Figure 1A) and made reaching movements
- towards a hidden target (Figure 1B, shaded gray area) in four blocks of 50 trials each. Participants were
- rewarded for successful movement in the target direction. To assess the contribution of the ventrolateral

prefrontal cortex to motor learning, cTBS stimulation was applied before learning in different groups of subjects in each hemisphere separately. Figure 2A shows data from a representative subject in each experimental condition. Movement paths shown in blue are for successful (rewarded) movements and those in red are for unsuccessful movements. Note that the overall direction differs in the three conditions because of individual differences in target location. The figure shows that movement paths were similar in the three experimental conditions at the beginning of training (block 1). At the end of training (block 4), participants in the sham condition moved more consistently to the target than participants who received stimulation to either left or right 9/46v (Figure 2A).

Reduction in the angular deviation from the target direction, |AD|, over the course of motor task provides a measure of improvement in accuracy as a result of learning (Figure 2B). The rate of reduction in |AD| was estimated for each subject separately. ANOVA applied to the slope estimates indicated the rate of 212 angular deviation reduction differed significantly among stimulation conditions $(F_{(2,51)}=4.19, p=0.02,$ Figure 2B). The rate of learning was slower in participants who received stimulation over left 9/46v (slope=-0.002, 95% CI=-0.01, 0.006) than those who received sham (slope=-0.022, 95% CI=-0.033, - 215 0.011) stimulation (p=0.016). There was no significant difference in the learning rate between the sham 216 and right 9/46v (slope=-0.009, 95% CI=-0.019, 0.001) conditions (p=0.16). Another indicator of learning is the change in the |AD| from the beginning of the learning session to the |AD| at the end (Figure 2C). 218 The mean change in $|AD|$ from the first to last learning block showed significant differences between 219 conditions $(F_{(2,51)}=4.93, p=0.01)$. Post-hoc tests indicated that participants in the sham stimulation 220 condition showed a greater reduction in $|AD|$ than participants in the left 9/46v condition (p=0.014). Participants also performed no-feedback trials after the initial learning session in which feedback on movement success was withheld. We found no significant difference in |AD| between conditions

- 224 ($F_{(2,51)}=0.57$, p=0.56) nor was there a significant difference in the slope between the groups in no-
- 225 feedback trials $(F_{(2,51)}=1.82, p=0.17)$. The slope in these trials for the sham condition was not reliably

226 different than zero ($p=0.56$). The slopes in the left $9/46v$ ($p=0.003$) and right $9/46v$ ($p=0.05$) conditions were both found to be reliably greater than zero indicating a progressive reduction in accuracy for the learned target direction.

During the motor learning task, participants were instructed to maximize the number of rewarded trials. Figure 3A shows the percentage of rewarded trials over the course of learning. Participants in the sham 231 stimulation group showed a steady increase in the number of successful movements (slope=0.119, 95%) CI=0.091-0.146) compared to participants in the left 9/46v stimulation condition (slope=0.011, 95% CI=- 0.014-0.038). Participants in the right 9/46v condition showed values intermediate between those in the 234 other two conditions (slope=0.073 95% CI=0.047-0.098). Statistical tests were conducted to assess changes in the percent of rewarded movements between the first and the last block of training. The change scores (increase from start to end of training in the percent of rewarded trials) differed 237 significantly across conditions $(F_{(2,51)}=6.18, p=0.003)$. Post-hoc tests indicated a reliable difference in 238 reward change scores between the left $9/46v$ and sham stimulation conditions ($p=0.002$). Specifically, participants in the sham stimulation condition received more rewards as learning progressed, whereas participants who received stimulation to left 9/46v showed no improvement at all. There was no difference in reward change scores for participants in the right 9/46v and sham stimulation conditions (p=0.10). One sample t-tests indicated that the reward change from the first to last block for participants 243 in the left 9/46v condition was not reliably different than zero $(t_{(17)} = -0.13, p=0.89)$.

One possible reason for not showing improvement over the course of training in the left 9/46v condition was that stimulation impaired the capacity to benefit from reward. To assess this possibility, we computed the absolute change in movement direction between the current trial (nth trial) and the subsequent trial (n+1th trial) as a function of the history of rewarded movements. The analysis, shown in Figure 3B, was conducted over the three most recent movements (n, n-1 and n-2 trial), under conditions where at least one of these movements was rewarded. It can be seen that there is a graded pattern of absolute change in movement direction, which is least following three rewarded movements and greatest when only a single

We have also assessed the possibility that 9/46v stimulation affected the movements themselves. We compared three basic movement parameters, peak velocity, movement amplitude and movement duration across stimulation conditions (Figure 4C). There were no significant differences between conditions (left 268 and right 9/46v and sham condition) in peak velocity ($F_{(2,51)}=1.28$, p=0.28), movement amplitude 269 (F_(2,51)=0.10, p=0.90) and movement duration (F_(2,51)=2.12, p=0.13). We also tested the possibility that 9/46v stimulation indirectly affected primary motor cortex and that deficits in learning occurred as a consequence. We assessed motor evoked potentials (MEPs) before and after stimulation (representative sample, Figure 4A) and found that there were no significant differences in peak-to-peak MEP amplitude 273 across experimental conditions ($F_{(2,51)}=0.56$, p=0.57, Figure 4B). Overall, this suggests that cTBS to 9/46v did not alter basic movement patterns nor did it indirectly act on primary motor cortex.

Discussion

intact. It should be noted that while for left 9/46v stimulation retention performance appears to be initially

better than that observed during learning, the values at the start of the retention test are wholly with the

range of those obtained over the course of training.

relationship between somatosensory memory and reinforcement learning (Sidarta et al., 2018) and also

between visuospatial memory and sequence learning (Bo and Seidler, 2009; Bo et al., 2009). In the

Sidarta et al (Sidarta et al., 2018) study using a task similar to the one in the present study, it was found

that individuals with better sensory memory for their own movements also showed greater learning.

Reinforcement learning has been characterized as involving both repetition of successful movements (exploitation) or the selection of new movements following unsuccessful trials (exploration). The present results suggest that disruption of 9/46v leaves both processes intact as indicated by the finding that a normal, but more variable, dependence of movement on reward history is preserved. The deficits in learning appear instead to be memory dependent. This finding shows that it is possible experimentally to partially dissociate the contribution of brain structures involved in reward and sensory memory in motor learning. Area 9/46v involvement in human motor learning has been reported in studies involving both reinforcement and error-based learning where learning-related activity is observed in both task-based and resting-state scans (Anguera et al., 2010; Sidarta et al., 2016).

It was found that disruption of activity in right 9/46v resulted in a reduction in both the rate of learning and the number of reinforced trials. Although these effects were not statistically different from measures of the same variables when stimulation was delivered to left 9/46v, nor when sham stimulation was delivered, the results for right hemisphere stimulation are intermediate between the two. Activity in right 9/46v has been observed previously in humans in both reinforcement learning and error-based learning tasks (Anguera et al., 2010; Sidarta et al., 2016). It has also been observed previously in sensory memory tasks in non-human primates (Romo et al., 1999). The extent to which there is hemispheric specialization in the contribution of area 9/46v to learning is uncertain. In humans, there is substantial interhemispheric connectivity in prefrontal cortex (Zarei et al., 2006). Moreover, interhemispheric propagation of TMS stimulation in prefrontal cortex has been reported (Voineskos et al., 2010), which makes possible the idea that the partial disruption of learning which occurs when right 9/46v is stimulated occurs as a result of indirect effects on the left hemisphere.

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Figure Legends

Figure 1. Participants learned to make movements to a hidden target, and positive feedback was provided for successful movements. (A) Participants made movements holding a robotic 476 manipulandum. (B) Schematic of the task. Participants made outward movements. If the movement 477 direction fell within the hidden target zone, positive feedback was provided to indicate success. No 477 direction fell within the hidden target zone, positive feedback was provided to indicate success. No
478 feedback was given in the case of an unsuccessful movement. (C) Experimental sequence. Motor-e feedback was given in the case of an unsuccessful movement. (C) Experimental sequence. Motor-evoked 479 potentials (MEPs) were elicited from the motor hot-spot in the left or right hemisphere before stimulation 480 (cTBS to right or left 9/46 v or sham stimulation). MEPs were again recorded 10 minutes after stimulation 480 (cTBS to right or left 9/46v or sham stimulation). MEPs were again recorded 10 minutes after stimulation 481 followed by the motor learning trials. In the no-feedback session at the end, participants were not followed by the motor learning trials. In the no-feedback session at the end, participants were not provided with feedback on the success of the movement. (D) Location of the stimulation site in representative participants from the left 9/46v and right 9/46v condition, shown in the sagittal (right panel) and coronal (middle panel) planes. The average location of the stimulation site (red circle) across participants in the MNI brain.

Figure 2. Suppression of left 9/46v using cTBS disrupts motor learning. (A) Hand paths of a representative participant from each group at the start (block 1) and end of training (block 4). Hand paths shown in red are for unsuccessful movements, and those in blue are for successful movements. (B) Mean absolute deviation from the center of the target zone over the course of training. The linear fit is shown 490 across learning trials and no-feedback trials separately. The shaded region represents \pm SEM. The rate of 491 learning was less in participants who received stimulation over left 9/46v than those who received sham 491 learning was less in participants who received stimulation over left 9/46v than those who received sham
492 stimulation. (C) Mean absolute deviation in the first and last block of the training. Participants in the stimulation. (C) Mean absolute deviation in the first and last block of the training. Participants in the sham stimulation condition showed a greater reduction in |AD| than participants in the left 9/46v condition.

Figure 3. Suppression of left 9/46v using cTBS leaves reinforcement learning intact. (A) Mean percentage of rewarded trials over the course of training. A linear fit is shown across learning trials. The 497 shaded region represents \pm SEM. (B) Mean percent of rewarded movements in the first and last block of 498 the training. Participants in the sham stimulation condition received more rewards as learning progressed 498 the training. Participants in the sham stimulation condition received more rewards as learning progressed, 499 whereas participants who received stimulation to left 9/46v showed no improvement at all. (C) Mean whereas participants who received stimulation to left 9/46v showed no improvement at all. (C) Mean 500 absolute change in movement direction between the current trial $(nth trial)$ and the subsequent trial $(n+1th$ trial) as a function of the history of rewarded movements. Reward history included three most recent movements (n, n-1 and n-2 trial), where at least one of these movements was rewarded. The left 9/46v group showed the same basic reward-history dependent pattern as the other conditions but with greater change in direction overall. This suggests that the learning deficit after left 9/46v suppression is not due to inability to process reward but likely because of a deficit in memory for target direction.

Figure 4. cTBS over left or right 9/46v did not alter the excitability of motor cortex or basic

movement parameters. (A) Mean time series of MEPs recorded from the FDI muscle pre- (blue) and post-cTBS (red) from a representative participant in each experimental condition. The TMS pulse occurs at time = 0 ms. The shaded regions are ±SEM across 20 MEPs. (B) Mean change in amplitude of MEPs measured 10 minutes post-cTBS (computed as a percentage of pre-cTBS MEPs). Error bars give the standard error across participants. (C) Mean movement duration, peak velocity and movement amplitude across experimental conditions. cTBS to either left or right 9/46v did not modify the movement parameters.

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B

left 9/46v

right 9/46v

sham

 $1\overline{50}$

 $\frac{1}{200}$ 225

Figure 2

A

Figure 3

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