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U-COSFIRE filters for vessel tortuosity quantification with application to automated diagnosis of retinopathy of prematurity

Sivakumar Ramachandran¹ · Nicola Strisciuglio² · Anand Vinekar³ · Renu John⁴ · George Azzopardi⁵

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Abstract

Retinopathy of prematurity (ROP) is a sight threatening disorder that primarily affects preterm infants. It is the major reason for lifelong vision impairment and childhood blindness. Digital fundus images of preterm infants obtained from a Retcam Ophthalmic Imaging Device are typically used for ROP screening. ROP is often accompanied by Plus disease that is characterized by high levels of arteriolar tortuosity and venous dilation. The recent diagnostic procedures view the prevalence of Plus disease as a factor of prognostic significance in determining its stage, progress and severity. Our aim is to develop a diagnostic method, which can distinguish images of retinas with ROP from healthy ones and that can be interpreted by medical experts. We investigate the quantification of retinal blood vessel tortuosity via a novel U-COSFIRE (Combination Of Shifted Filter Responses) filter and propose a computer-aided diagnosis tool for automated ROP detection. The proposed methodology involves segmentation of retinal blood vessels using a set of B-COSFIRE filters with different scales followed by the detection of tortuous vessels in the obtained vessel map by means of U-COSFIRE filters. We also compare our proposed technique with an angle-based diagnostic method that utilizes the magnitude and orientation responses of the multi-scale B-COSFIRE filters. We carried out experiments on a new data set of 289 infant retinal images (89 with ROP and 200 healthy) that we collected from the programme in India called KIDROP (Karnataka Internet Assisted Diagnosis of Retinopathy of Prematurity). We used 10 images (5 with ROP and 5 healthy) for learning the parameters of our methodology and the remaining 279 images (84 with ROP and 195 healthy) for performance evaluation. We achieved sensitivity and specificity equal to 0.98 and 0.97, respectively, computed on the 279 test images. The obtained results and its explainable character demonstrate the effectiveness of the proposed approach to assist medical experts.

Keywords COSFIRE filtering · Retinopathy of prematurity · Tortuosity estimation · Vessel segmentation

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1 Introduction

Retinopathy of prematurity (ROP) is a retinal disorder that causes visual impairment in premature low-weight babies who are born before 31 weeks of gestation [6]. It causes abnormal blood vessels to grow in the inner regions of the eyes, leading to the detachment of the retina and possibly blindness. Statistics from the World Health Organization indicate that ROP is not only the leading cause of childhood blindness but also a great challenge in view of its treatment and management due to high inter-expert diagnosis variability [15]. The Committee for International Classification of Retinopathy of Prematurity (ICROP) [13] established that the treatment of the ROP should be initiated once the Plus disease is diagnosed. The disorder is characterized by high levels of tortuosity and dilation of the

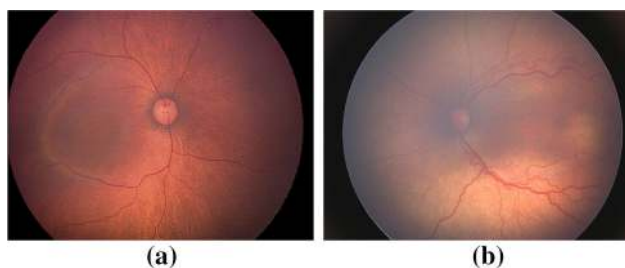


Fig. 1 Retinal fundus images of preterm infants. **a** Examples of healthy and **b** with Plus disease

retinal blood vessels, as shown in Fig. 1b. The most common approach for ROP screening involves visual tortuosity quantification by a retinal expert.

The recent medical advancements in neonatal care have improved the survival rates of low birth weight infants, especially in the developing countries. For instance, out of 26 million annual new born babies in India, approximately two millions are born with low birth weight and are at risk of developing ROP [41]. The screening programme conducted in the rural areas generates large amounts of image data for ROP screening. The manual labelling is often difficult and time consuming. So there arise the need for an automatic system which can effectively handle huge image data and can accurately diagnose the pathology. In this paper, we focus on developing the backbone of such a diagnostic system with a novel algorithm for the automatic detection of ROP in retinal fundus images of infants.

The computerized analysis of retinal images for the automatic detection of certain pathologies, such as diabetic retinopathy, age-related macular degeneration, ROP and glaucoma, among others, is of wide interest for the image processing and artificial intelligence research communities. Although deep learning approaches are obtaining very good results, often quantitatively overcoming the performance of human observers, their predictions are not easily interpretable. This limits the use of such systems in real diagnostic scenarios, where clear explanation of the decisions has to be provided.

To this concern, we design a novel pipeline for automated and explainable ROP diagnosis, which we constructed under the guidance of ROP-expert ophthalmologists. It consists of two main steps, namely blood vessel segmentation followed by the detection and evaluation of tortuous vessels. The process to detect ROP in retinal images is based on a decision scheme that implements, to some extent, the diagnostic process performed by medical doctors who evaluate the number and severity of tortuous vessels in the retinal images. We propose U-COSFIRE filters for tortuous vessel detection. In contrast to existing methods, which we discuss in Sect. 2, the U-COSFIRE filters do not require explicit

modelling of the geometrical properties of the vessel-curvature points. Instead, they are configured in an automatic process by presenting a single example of interest. This is an advantage as, in the operating phase of a diagnostic system, medical operators can automatically configure additional tortuous vessel detectors for particular cases. This contributes to the flexibility and scalability of the proposed pipeline. The response maps of the U-COSFIRE filters can be interpreted directly by medical doctors as they highlight the points of high-curvature of vessels.

To the best of our knowledge, there are no public data sets available for benchmarking methods for ROP diagnosis in retinal images. We thus validate the proposed method on a new data set of 289 images, which we acquired from KIDROP, that is the world largest telemedicine network for ROP screening and assistance [19]. Furthermore, we implemented an existing method for vessel tortuosity quantification [33], the performance of which we compare with those achieved by the proposed pipeline based on U-COSFIRE filters. We summarize the contributions of this work as follows:

- novel U-COSFIRE filters for the detection of high-curvature vessel points, and an approach for the quantification of tortuosity level from their magnitude response maps;
- extension of the B-COSFIRE filters with an explicit multi-scale framework for the segmentation of retinal blood vessels with varying thickness;
- a pipeline for automated diagnosis of ROP from retinal images, whose outputs are explainable, and that employs the proposed U-COSFIRE and multi-scale B-COSFIRE filters.

The paper is organized as follows. In Sect. 2, we give an account of the state-of-the-art approaches for vessel delineation, tortuosity estimation and ROP diagnosis. In Sect. 3, we describe the proposed methods and their use for the design of an automated ROP diagnosis system, while in Sect. 4, we present our data set that we collected and the results that we achieved. We also provide a comparison with other methods and a discussion of the results in Sect. 5. Finally, we draw conclusions in Sect. 6.

2 Related works

Existing works on retinal vessel segmentation as well as evaluation of tortuosity were surveyed in [1, 10, 49]. Retinal blood vessel delineation approaches are based on either supervised or unsupervised learning techniques. Supervised methods use manually segmented data for training a classifier, and their performance, essentially, depends on the set of features derived from the training

samples. The classification model learns from the feature vectors and distinguishes vessel pixels from non-vessel ones. Unsupervised vessel segmentation methods rely on the responses obtained from vessel-selective filters followed by thresholding operations.

In [34], the authors introduced a supervised classification technique that depends on feature vectors derived from the properties of Gabor filters with a Gaussian mixture model (GMM) and a discriminative support vector machine (SVM) as classifiers. Another supervised classification method proposed in [42] relies on a constructed feature space of pixel intensity values and Gabor wavelet responses combined with the GMM classifier. In [40], a SVM-based supervised classification using feature vectors derived from the responses of a line detector and target pixel grey levels was proposed.

The unsupervised methods proposed in [2, 7, 8, 17] used the matched filter as the prime filtering element followed by thresholding operations. The multi-scale filtering approach proposed in [25] used the responses of the matched filter in three different scales for segmentation and width estimation of retinal blood vessels. The literature also contains a reasonable amount of work that uses Gabor filters for the vessel segmentation process. The research carried out in [20, 38, 39] are a few examples in this area. Gabor filters have been widely accepted as computational models of simple cells of visual cortex. The recently introduced Combination of Receptive Fields (CORF) computational model [5], however, outperforms Gabor filters by exhibiting more qualities of the real biological simple cells. This enables a better contour detection, an important biological functionality of simple cells. The latter method and the trainable filters for visual pattern recognition [3] inspired the B-COSFIRE blood vessel delineation operator [4, 43], first proposed as an unsupervised approach and later wrapped in a supervised method [44]. Besides blood vessel segmentation, B-COSFIRE filters were effectively employed to detect other elongated patterns [45, 48]. The delineation performance of the B-COSFIRE filters was substantially improved by the addition of an inhibitory component, based on the push-pull inhibition phenomenon known to happen in area V1 of the visual system of the brain, which resulted in a new operator for delineation of elongated patterns named RUSTICO [46].

A substantial amount of work has also been reported on the estimation of tortuosity of blood vessels. Most of these approaches consider length-to-chord (LTC) [27] measure as a major parameter for tortuosity evaluation. LTC is expressed as the ratio of the actual length of the curved segment of a given blood vessel to the length of the shortest line (chord) connecting its terminal points. A couple of studies [12, 28] have considered different parameters of the

vessel axis, such as curvature and directional changes, for tortuosity evaluation. A semi-automatic tortuosity evaluation method based on grouping vessel segments with constant sign-curvature was proposed in [14]. In [33], an angle-based method was applied on the orientation response maps of Gabor filters for detecting tortuous vessel segments.

3 Proposed pipeline

The pipeline for ROP diagnosis that we propose consists of seven steps, namely pre-processing, blood vessel segmentation with multi-scale B-COSFIRE filters, vessel-map binarization, thinning of the vessel tree map, U-COSFIRE filtering for detection of high-curvature points, analysis of connected components of the high-curvature locations, and a diagnostic decision based on the number of connected components. Figure 2 shows a schematic view of the proposed pipeline. In the following, we elaborate on each of these steps.

3.1 Pre-processing

We resize the given RGB fundus images to 800×600 pixels and process only the green channel of the images as it contains the highest contrast between the blood vessels and the background [30].

3.2 Multi-scale B-COSFIRE vessel segmentation

We employ the trainable B-COSFIRE filters for vessel segmentation, originally proposed in [4]. A B-COSFIRE filter can be configured by presenting a single pattern of interest to a configuration algorithm. Effective delineation is achieved by combining the responses of a symmetric and an asymmetric B-COSFIRE filters, configured on a vessel- and a vessel-ending-like pattern, respectively. Formally, their responses are combined as:

$$C_{\phi, \hat{\sigma}, \tilde{\sigma}}(x, y) = |S_{\phi, \hat{\rho}, \hat{\sigma}, \hat{\alpha}}(x, y) + \max\{A_{\phi, \tilde{\rho}, \tilde{\sigma}, \tilde{\alpha}}(x, y), A_{\phi+\pi, \tilde{\rho}, \tilde{\sigma}, \tilde{\alpha}}(x, y)\}|_t \quad (1)$$

where $S_{\phi, \hat{\rho}, \hat{\sigma}, \hat{\alpha}}(x, y)$ and $A_{\phi, \tilde{\rho}, \tilde{\sigma}, \tilde{\alpha}}(x, y)$ are the responses of a vessel- and a vessel-ending-selective filters, respectively. The parameter σ is a scale parameter (i.e. the standard deviation of the outer Gaussian of a Difference-of-Gaussians used as contributing filter to the B-COSFIRE filter) that regulates the selectivity of the B-COSFIRE filters to vessels of a certain thickness. The parameter ϕ indicates the orientation preference of the filter, while $\hat{\rho}$ and $\tilde{\rho}$ are the radii of the concerned filter supports. The pairs of parameters $(\hat{\sigma}_0, \hat{\alpha})$ and $(\tilde{\sigma}_0, \tilde{\alpha})$ control the tolerance

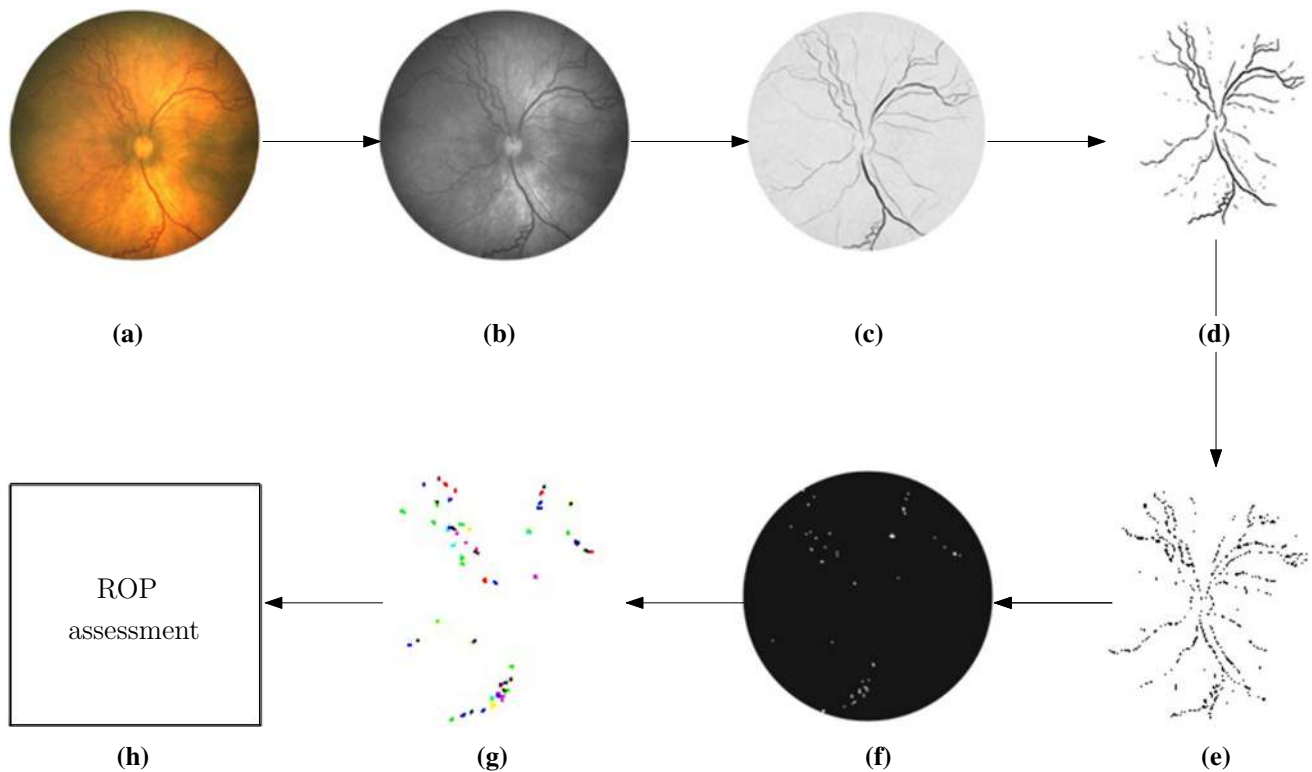


Fig. 2 Schematic outline of the proposed pipeline. **a** Input image, **b** pre-processing, **c** vessel segmentation using multi-scale B-COSFIRE filter, **d** binarization, **e** thinning of the vessel tree map, **f** curvature-points detection, **b** connected components analysis, and **h** assessment of ROP. Images (c), (d), (e), and (g) are inverted for better visualization

degree for the detection of deformed patterns with respect to that used for the configuration. Tolerance to curvilinear vessels increases with increasing values of these parameters, up to some extent. The symbol $|\cdot|_t$ represents the thresholding operation of the combined filter responses by a factor t , which is a fraction of the obtained maximum response. The symmetric and asymmetric filters have different parameter values since they are selective for different parts of the vessels. In order to delineate vessels with different orientations, a rotation-tolerant response map R is constructed by superimposing the responses $C_{\phi, \hat{\sigma}, \bar{\sigma}}(x, y)$ obtained for different values of ϕ , as:

$$R_{\hat{\sigma}, \bar{\sigma}}(x, y) = \max_{\phi \in \{0, \frac{\pi}{12}, \dots, \frac{11\pi}{12}\}} \{C_{\phi, \hat{\sigma}, \bar{\sigma}}(x, y)\} \quad (2)$$

Here, we consider a set of 12 preferred orientations with angle spacing of $\pi/12$ radians. The configuration of a B-COSFIRE filter relies on two parameters, namely σ and ρ . The parameter σ determines the selectivity of the filter to curvilinear patterns of a given thickness: given a line pattern of interest of thickness w pixels, we compute $\sigma = 1.92w$ [36]. The parameter ρ regulates the size in pixels of the support region of the filter. For more technical details about the B-COSFIRE filters, we refer the reader to [4].

High tortuosity levels of blood vessels influence the thickness variability across the retina. This causes difficulty in tracking the vessels using a single-scale filtering. In [47], robustness to scale was achieved by forming pixel-wise feature vectors derived from a bank of B-COSFIRE filters tuned to vessels of various thicknesses. Using these vectors, a classifier was trained to differentiate the vessel pixels from the background.

In this work, we extend the B-COSFIRE filters to multi-scale and obtain both scale- and rotation-tolerant responses at every pixel location. We replace the σ parameter of the B-COSFIRE filter with a vector $\underline{\sigma}$ of preferred scales, which constitutes a scale space in which the B-COSFIRE response map is computed. For a B-COSFIRE filter S with preferred orientation ϕ , we formally define the scale-tolerant response S_M as:

$$S_{M, (\underline{\sigma}, \phi)}(x, y) = \max_{\sigma_i \in \underline{\sigma}} \{S_{\phi, \hat{\rho}, \sigma_i, \hat{\sigma}_0, \hat{\sigma}}(x, y) | i = 1, \dots, N_s\} \quad (3)$$

where N_s is the number of considered scales. Subsequently, we compute the response map of a multi-scale rotation-tolerant B-COSFIRE filter as the superposition of the multi-scale response maps computed for each preferred orientation. In this work, we employ multi-scale symmetric and asymmetric B-COSFIRE filters, and define their

combined response map $R_{M,(\underline{\sigma},\underline{\sigma})}(x,y)$ for multi-scale vessel segmentation as:

$$R_{M,(\underline{\sigma},\underline{\sigma})}(x,y) = \max_{\phi \in \{0, \frac{\pi}{12}, \dots, \frac{11\pi}{12}\}} \{C_{M,(\phi,\underline{\sigma},\underline{\sigma})}(x,y)\} \tag{4}$$

where $C_{M,(\phi,\underline{\sigma},\underline{\sigma})}(x,y)$ is the combined multi-scale symmetric and asymmetric B-COSFIRE filter response computed for a given orientation ϕ , which we formally define as:

$$C_{M,(\phi,\underline{\sigma},\underline{\sigma})}(x,y) = |S_{M,(\underline{\sigma},\underline{\sigma})}(x,y) + \max\{A_{M,(\underline{\sigma},\phi)}(x,y), A_{M,(\underline{\sigma},\phi+\pi)}(x,y)\}|_r \tag{5}$$

3.3 Vessel-tree binarization and thinning

We threshold the B-COSFIRE magnitude response map $R_{M,(\underline{\sigma},\underline{\sigma})}(x,y)$ by using the Otsu’s method [35]. When applied to the B-COSFIRE magnitude response map, this method first identifies a grey level which separates the region containing the blood vessels from the background. An illustration of this first thresholding is provided in Fig. 3a, b, showing the B-COSFIRE response and binary maps, respectively. Subsequently, we apply the Otsu’s method for a second time on the pixels that fall within the white area determined by the first thresholding operation, Fig. 3c.

From the resulting binary map, we then extract the thinned vessels by means of morphological thinning operation. The thinned vessels often have unwanted short spurs. We carry out pruning with 10 iterations to remove those short spurs which are formed as a result of small irregularities present in the boundary of the original vessel maps. Finally, we consider the remaining thinned vessel segments for the analysis of tortuosity.

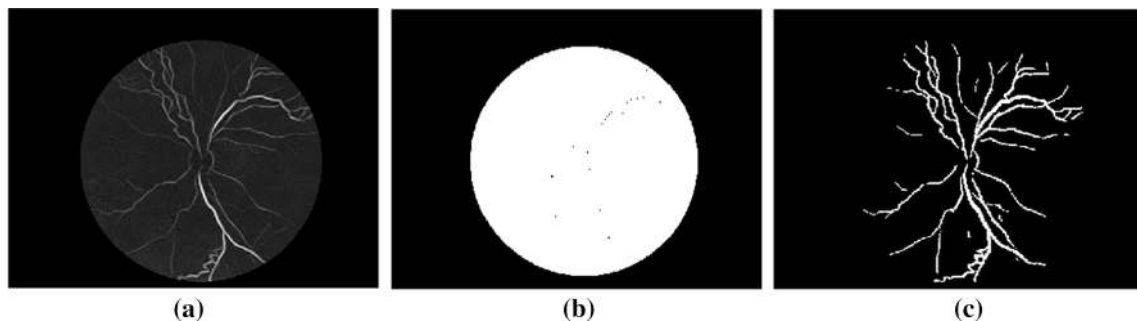


Fig. 3 Example of the binarization procedure. **a** The B-COSFIRE filter response map of a given input image, **b** the result after using Otsu’s method for global thresholding, and **c** the binary output with the prominent blood vessels segmented from the background

3.4 U-COSFIRE filters for high-curvature point detection

The proposed methodology has its basis on the trainable B-COSFIRE filter for blood vessel delineation that was proposed in [4]. We configure new COSFIRE filters to be selective to tortuous patterns, which resemble ‘U’-shapes in geometry. The COSFIRE filters that we propose take as input a set of responses of a DoG filter, whose relative locations are found in an automatic configuration process carried out on a prototypical U-shape pattern as shown in Fig. 4a. The DoG function which we denote by $DoG_{\sigma}(x,y)$, is defined as:

$$DoG_{\sigma}(x,y) = \frac{1}{2\pi\sigma^2} \exp\left(-\frac{x^2+y^2}{2\sigma^2}\right) - \frac{1}{2\pi(0.5\sigma)^2} \exp\left(-\frac{x^2+y^2}{2(0.5\sigma)^2}\right) \tag{6}$$

The configuration process involves the determination of key points along a set of concentric circles around the centre of the given prototype.

For the configuration of a U-COSFIRE filter, we first convolve the input prototype pattern I with a DoG function of standard deviation σ :

$$g_{\sigma}(x,y) \stackrel{\text{def}}{=} |I \star DoG_{\sigma}|^+ \tag{7}$$

where the operation $|\cdot|^+$ suppresses negative values. It is usually referred to as half-wave rectification or rectification linear unit (ReLU). Figure 4b shows the thresholded DoG response map computed for the prototype input image shown in Fig. 4a. The proposed filter structure is determined from the DoG responses obtained through the automatic configuration process which results in a set U of 3-tuples that describe the geometric properties of the prototype pattern:

$$U = \{(\sigma_i, \rho_i, \phi_i) | i = 1, \dots, n\} \tag{8}$$

The automatic configuration of the U-COSFIRE filter is shown in Fig. 5. The centre of the filter is labelled

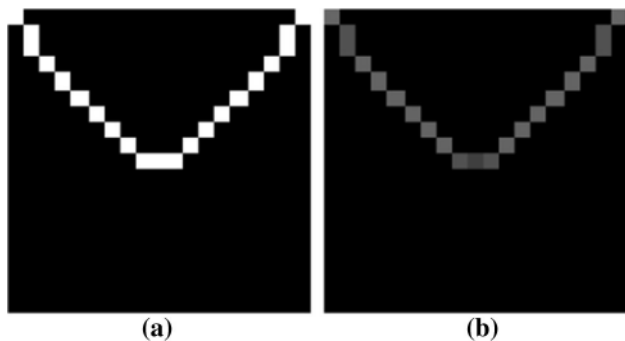


Fig. 4 **a** Prototype (of size 19×19 pixels) used for configuring a U-COSFIRE filter and **b** the response map obtained after convolving the prototype pattern with a DoG filter of $\sigma = 0.52$

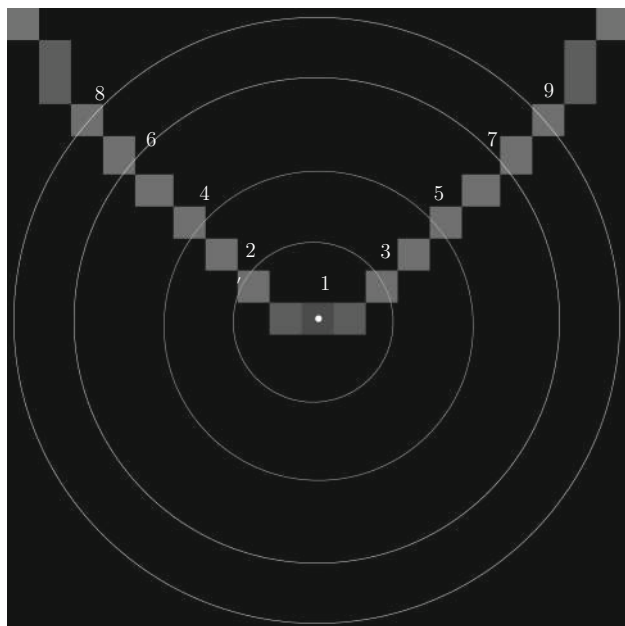


Fig. 5 Example of the U-COSFIRE filter configuration. The filter centre is labelled as ‘1’ and the points labelled from ‘2’ to ‘9’ are the locations of local maxima along the considered concentric circles around the centre point

as ‘1’, and the DoG responses along a number of concentric circles around the centre point are considered. The points labelled from ‘2’ to ‘9’ indicate the locations of the local maxima along the concentric circles. The number of the local maxima depends on the shape complexity of the pattern as well as on the number of concentric circles. Below is the set U of 3-tuples that is determined from the input pattern shown in Fig. 4a.

$$U = \left\{ \begin{array}{l} (\sigma_1 = 0.52, \rho_1 = 0, \phi_1 = 0), \\ (\sigma_2 = 0.52, \rho_2 = 2, \phi_2 = 0.4887), \\ (\sigma_3 = 0.52, \rho_3 = 2, \phi_3 = 2.6529), \\ (\sigma_4 = 0.52, \rho_4 = 4, \phi_4 = 0.5760), \\ (\sigma_5 = 0.52, \rho_5 = 4, \phi_5 = 2.5482), \\ (\sigma_6 = 0.52, \rho_6 = 6, \phi_6 = 0.6632), \\ (\sigma_7 = 0.52, \rho_7 = 6, \phi_7 = 2.4609), \\ (\sigma_8 = 0.52, \rho_8 = 8, \phi_8 = 0.6807), \\ (\sigma_9 = 0.52, \rho_9 = 8, \phi_9 = 2.4435) \end{array} \right\} \quad (9)$$

Every tuple describes the characteristics of a local maximum response along the concentric circles, with σ_i representing the standard deviation of the DoG function, and (ρ_i, ϕ_i) representing the polar coordinates of its location with respect to the filter support centre.

The response of a U-COSFIRE filter is obtained with the same steps described in [4]. The method involves the computation of intermediate response maps, one for each tuple in the configured set U , followed by the fusion of such maps with the weighted geometric mean function. An intermediate feature map for a tuple i is achieved in four steps: (1) convolution of the input image with a DoG function with standard deviation σ_i , (2) suppression of the negative values with the ReLU function mentioned above, (3) blurring of the thresholded DoG response map with a Gaussian function of standard deviation $\hat{\sigma}_i$ that grows linearly with the distance ρ_i : $\hat{\sigma}_i = \sigma_0 + \alpha\rho_i$, and (4) shifting of the blurred DoG responses by the vector $[\rho_i, \pi - \phi_i]$. The constants σ_0 and α regulate the tolerance to deformations of the prototype pattern, and they are determined empirically. We refer the reader to [4] for all technical details.

We denote by $r_U(x, y)$ the COSFIRE filter response that is the weighted geometric mean of all intermediate feature responses $u_{\sigma_i, \rho_i, \phi_i}(x, y)$:

$$r_U(x, y) \stackrel{\text{def}}{=} \left| \left(\prod_{i=1}^{|U|} (u_{\sigma_i, \rho_i, \phi_i}(x, y))^{\omega_i} \right)^{1/\sum_{i=1}^{|U|} \omega_i} \right| \quad (10)$$

where

$$\omega_i = \exp^{-\rho_i^2/2\hat{\sigma}^2} \quad (11)$$

and

$$\hat{\sigma} = \frac{1}{3} \left\{ \max_{i \in \{1, 2, \dots, |U|\}} \rho_i \right\} \quad (12)$$

The threshold parameter t ($0 < t < 1$) is a fraction of the maximum filter response. The selectivity of a U-COSFIRE filter for a particular orientation depends upon the orientation of the prototype used while configuring the filter. To

achieve selectivity in different orientations, we construct a bank of U-COSFIRE filters from the one configured above. For a given orientation preference ψ , we alter the angular parameters in the set U to form a new set $R_\psi(U)$:

$$R_\psi(U) \stackrel{\text{def}}{=} \{(\sigma_i, \rho_i, \phi_i + \psi) | \forall (\sigma_i, \rho_i, \phi_i) \in U\} \quad (13)$$

The multi-orientation response map $\hat{r}_U(x, y)$ at any pixel location is the maximum superposition of the response maps evaluated with different preferred orientations:

$$\hat{r}_U(x, y) = \max_{\psi \in \Psi} \{r_{R_\psi(U)}(x, y)\} \quad (14)$$

Here, we set $\Psi = \{0, \frac{\pi}{36}, \dots, \frac{35\pi}{36}\}$ so that we have a bank of 36 U-COSFIRE filters tuned for 36 different directions that vary in intervals of $\pi/36$.

3.5 Estimation of vessel tortuosity

We analyse the connected components of the U-COSFIRE filter response map. For each pixel in the response map, we determine the components that are eight-connected, by inspecting the pixels vertically from top to bottom and then horizontally from left to right. The scanning process results in an integer map where each object is assigned to a particular set of pixels. Zero values in the map correspond to the background pixels. For visualization purposes, we label each connected component (a group of pixels with the same label) in the integer map using a pseudo random colour.

We compute the number of connected components in the U-COSFIRE response map, which corresponds to the number of detected high-curvature points, and use it in the next steps for the automation of ROP diagnosis. We also explore other approaches to characterize the tortuosity level from the U-COSFIRE response map, namely number of nonzero pixels, sparse density, entropy, and the number of local maxima. We present a comparative analysis of the results achieved by these approaches in Sect. 4.4.

3.6 ROP diagnosis by the analysis of vessel tortuosity

One of the main indicators for the diagnosis of ROP is the detection of Plus disease. Studies from the medical literature indicate that high tortuosity in at least two quadrants of a given retinal fundus image is an indication of the presence of Plus disease [13]. We compute the number of connected components in the U-COSFIRE response map as a measure of the vessel tortuosity severity in a given retinal image.

The recorded count of connected components is checked for the presence of Plus disease. A high value indicates the presence of abnormal tortuosity in the image. We designed

the decision rule under the strict supervision of ROP-expert ophthalmologists, and kept it simple and clear, which makes it interpretable by humans.

4 Experiments

4.1 Data Set

We assessed the effectiveness of the proposed methodology on a new data set of 289 retinal fundus images that we acquired from KIDROP [19], the largest tele-medicine network across the globe, which is aimed to eradicate ROP-based infant blindness. All images belong to the category of disc-centred retinal images where the optic disc (OD) lies in the image centre. The images are captured using a *RetCam3TM* camera with a resolution of 1600×1200 pixels. Of the 289 images, 10 images¹ are solely used for the configuration of the parameters and are not used for performance analysis. The images used for parameter configuration are randomly selected and contain equal number of images with healthy and unhealthy retinas. Of the remaining 279 images (validation set), 84 are labelled as showing signs of Plus disease while the rest are marked as healthy (see Table 1 for the data set details). The infant retinal images were labelled independently by three clinical experts with experience in ROP detection. A label is assigned to a given image by majority voting, which we then considered as the ground truth.

4.2 Evaluation

For the performance evaluation of the proposed pipeline, we computed the specificity (Sp), sensitivity (Se), precision (Pr) and F-measure (F):

$$\text{Sp} = \frac{\text{TN}}{\text{TN} + \text{FP}}, \text{Se} = \frac{\text{TP}}{\text{TP} + \text{FN}}, \text{Pr} = \frac{\text{TP}}{\text{TP} + \text{FP}},$$

$$F = 2 \frac{\text{Pr} \cdot \text{Se}}{\text{Pr} + \text{Se}}$$

where TP, FP, TN, and FN stand for true positives, false positives, true negatives and false negatives, respectively. We count a TP when an image labelled with ROP disease is correctly classified, and a FN when it is incorrectly classified. We consider an image of a healthy retina as TN if it is correctly classified and as FP if it is classified as containing signs of ROP.

We also evaluated the overall performance of the proposed pipeline by computing the receiver operating characteristic (ROC) curve and computing its underlying area

¹ Image files named T1-T10 of KIDROP data set are used as training images.

Table 1 The KIDROP data set divided into training and validation sets

Set	Plus cases	Normal cases	Total
Training set	5	5	10
Validation set	84	195	279

(AUC). We constructed the ROC curve by varying the value of the threshold t^* on the number of connected components corresponding to tortuous points that we use for the evaluation of the presence of Plus disease and diagnosis of ROP

In addition to the above performance metrics, we also computed the Matthews correlation coefficient (MCC) and the Cohen's kappa coefficient (κ), which are suitable to evaluate the performance of methods for binary classification when the classes are unbalanced. The MCC is formally defined as:

$$\text{MCC} = \frac{\text{TP} \times \text{TN} - \text{FP} \times \text{FN}}{\sqrt{(\text{TP} + \text{FP})(\text{TP} + \text{FN})(\text{TN} + \text{FP})(\text{TN} + \text{FN})}} \quad (15)$$

Its values range between +1 and -1, where +1 indicates a perfect prediction, 0 indicates a random prediction, and -1 corresponds to completely opposite predictions. The Kappa coefficient (κ) also deals with imbalanced class problems and is defined as:

$$\kappa = \frac{p_{\text{obs}} - p_{\text{exp}}}{1 - p_{\text{exp}}} \quad (16)$$

where p_{obs} is the observed agreement and p_{exp} is the expected agreement. The κ values vary between -1 and +1, with values less than or equal to 0 indicating no agreement, and values greater than 0 indicating the degree of agreement with a maximum of 1 (perfect agreement) [9, 29].

4.3 Parameter configuration

The values of the COSFIRE filter parameters are to be set according to the characteristics of the pattern of interest. For instance, the parameters $\hat{\sigma}$ and $\tilde{\sigma}$ control the selectivity to vessels of a certain thickness and have to be tuned according to the vessels in the images at hand [47]. The relationship between the thickness τ (in pixels) of a line and the standard deviation σ of the outer Gaussian function of the DoG function that gives the maximum response is defined as $\tau = 1.92\sigma$ [24].

In Fig. 6, we show the intermediate responses of several B-COSFIRE filters to the input image shown in Fig. 2a.

The use of the multi-scale filtering (Fig. 6d) increases the robustness to noise and contributes to enhanced detection of vessels with respect to what is detected by single-scale B-COSFIRE filters (Fig. 6a–c). The effect of noise is limited in the case of multi-scale filtered image shown in Fig. 6d. Multi-scale B-COSFIRE filtering improves the ruggedness of the proposed approach regarding artifacts in the background, which reduces false detection of blood vessels.

We consider the vectors $\hat{\sigma} = \{1.4, 2.2, 3.4\}$ and $\tilde{\sigma} = \{0.6, 1.4, 2.4\}$, for the symmetric and asymmetric filters, respectively. The selection of these parameter values was determined by visually inspecting the output COSFIRE response maps of the training images. In Table 2, we report the configuration parameters of both symmetric and asymmetric B-COSFIRE filters for the KIDROP data set.

As to the configuration of the U-COSFIRE filter, we determine its parameters empirically on the 10 training images. We use a prototype synthetic U-shape pattern of size 19×19 pixels (see Fig. 4) and set $\sigma = 0.52$ and $\rho = 8$. We experimented with different prototype sizes and with different configuration parameters. It turned out that a prototype of 19×19 pixels in size for the data set at hand is well suited to achieve satisfactory results. In the right-most column of Table 2, we report the configuration parameters of the U-COSFIRE filter that is best suited to detect the tortuous vessel segments in the images of the KIDROP data set.

We determined the configuration of the B-COSFIRE and U-COSFIRE parameters in close collaboration with ROP experts that helped us evaluating the quality of the response maps computed in the intermediate steps of the proposed method. We coupled this knowledge-driven evaluation with quantitative analysis of the overall performance on the training set of images. In Sect. 5, we report the sensitivity analysis results of the parameters involved in our approach.

4.4 Results

In this section, we present the results that we achieved on the KIDROP data set, and compare them with a state-of-the-art method for ROP diagnosis proposed by Oloumi et al. [33], that relies on an angle-based measure of tortuosity of blood vessels. That method requires a semi-automatic procedure for the removal of the optic disc, which is not required by the method that we propose. In this section, we also provide details about the implementation and results obtained for the method proposed by Oloumi et al. [33].

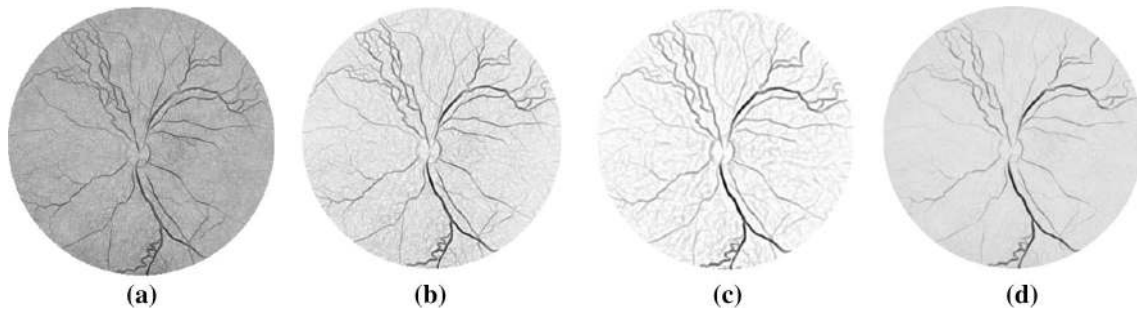


Fig. 6 Example of single- (a–c) and multi-scale (d) B-COSFIRE filter response maps obtained for the input image shown in Fig. 2a. The parameters of the single-scale filters are (a) $\hat{\sigma} = 1.4$, $\tilde{\sigma} = 0.6$,

(b) $\hat{\sigma} = 2.2$, $\tilde{\sigma} = 1.4$, (c) $\hat{\sigma} = 3.4$, $\tilde{\sigma} = 2.4$, while for the (d) multi-scale one are $\hat{\underline{\sigma}} = \{1.4, 2.2, 3.4\}$, $\tilde{\underline{\sigma}} = \{0.6, 1.4, 2.4\}$. All images are inverted for clearer visualization

Table 2 COSFIRE filter parameter values that we used for the experiments on the KIDROP data set

Symmetric B-COSFIRE	Asymmetric B-COSFIRE	U-COSFIRE filter
$\hat{\underline{\sigma}} = \{1.4, 2.2, 3.4\}$	$\tilde{\underline{\sigma}} = \{0.6, 1.4, 2.4\}$	$\sigma = \{0.52\}$
$\hat{\rho} = \{0, 2, \dots, 8\}$	$\tilde{\rho} = \{0, 2, \dots, 22\}$	$\rho = \{0, 2, \dots, 8\}$
$\hat{\sigma}_0 = 0.8$	$\tilde{\sigma}_0 = 0.8$	$\sigma_0 = 0.8$
$\hat{\alpha} = 0.5$	$\tilde{\alpha} = 0.5$	$\alpha = 0.5$

4.4.1 ROP detection using U-COSFIRE filters.

We achieved sensitivity of 0.98 and specificity of 0.97, which correspond to an F-score of 0.97, by using the proposed diagnostic method based on counting the connected components of the U-COSFIRE filter response map for vessel tortuosity estimation. In Fig. 7, we show examples of the evaluation performed on two images with and without Plus disease (Fig. 7a, g, respectively). The number of the detected connected components in the response map of the U-COSFIRE tortuosity detector on the retinal image with ROP (Fig. 7e) is 123, while that in the U-COSFIRE response map on the healthy image (Fig. 7k) is 10. This shows how the proposed U-COSFIRE filter is able to extract valuable information for robustly evaluating the tortuosity of blood vessels and the presence of Plus disease for ROP detection in retinal images.

In order to analyse the overall performance of the proposed methodology and construct the ROC curve, we conduct the following evaluation:

1. set a threshold value $t^* = 1$;
2. classify as positive (i.e. has ROP) the images with the number of connected components greater than t^* and as negative (i.e. no ROP) the other images;
3. compute the metrics TP, FP, FN, Pr, Se, and F;
4. set $t^* = t^* + 1$ and repeat steps 2 to 4 until t^* is equal to the maximum number of connected components in the image.

In Fig. 8, we depict the ROC curve that we achieved. The black dots represent the performance results (Se and Sp) achieved at each value of the threshold t^* , while the solid black line is their interpolation that we show for visualization purposes. We achieved $AUC = 0.9945$, which demonstrates the robustness and effectiveness of the proposed methodology for automatic detection of ROP in retinal images. For the threshold t^* that contributes to the best F-score, the obtained MCC and κ values are 0.95 and 0.94, respectively.

We also investigate the contributions of alternative measurements computed from the U-COSFIRE response maps, which could help to discriminate between retinal images of patients affected by ROP or not. We compute the number of pixels with nonzero value in the response map (NZ), sparse density (SD), the number of local maximum points (LM) and the entropy (E). In Fig. 9, we plot the values of these features computed on retinal images of healthy patients (blue lines) and of patients affected by ROP (red lines). In all cases, we observe that the considered features are able to provide a suitable separation of healthy and unhealthy cases, which is also due to the robustness of the U-COSFIRE processing with respect to the detection of high-curvature vessel points. Although all measurements contribute to satisfactory results, we include the number of local connected component in the proposed methodology for ROP diagnosis as it guarantees the best robustness and the highest results. We report and discuss in Sect. 5 the results that we achieved.

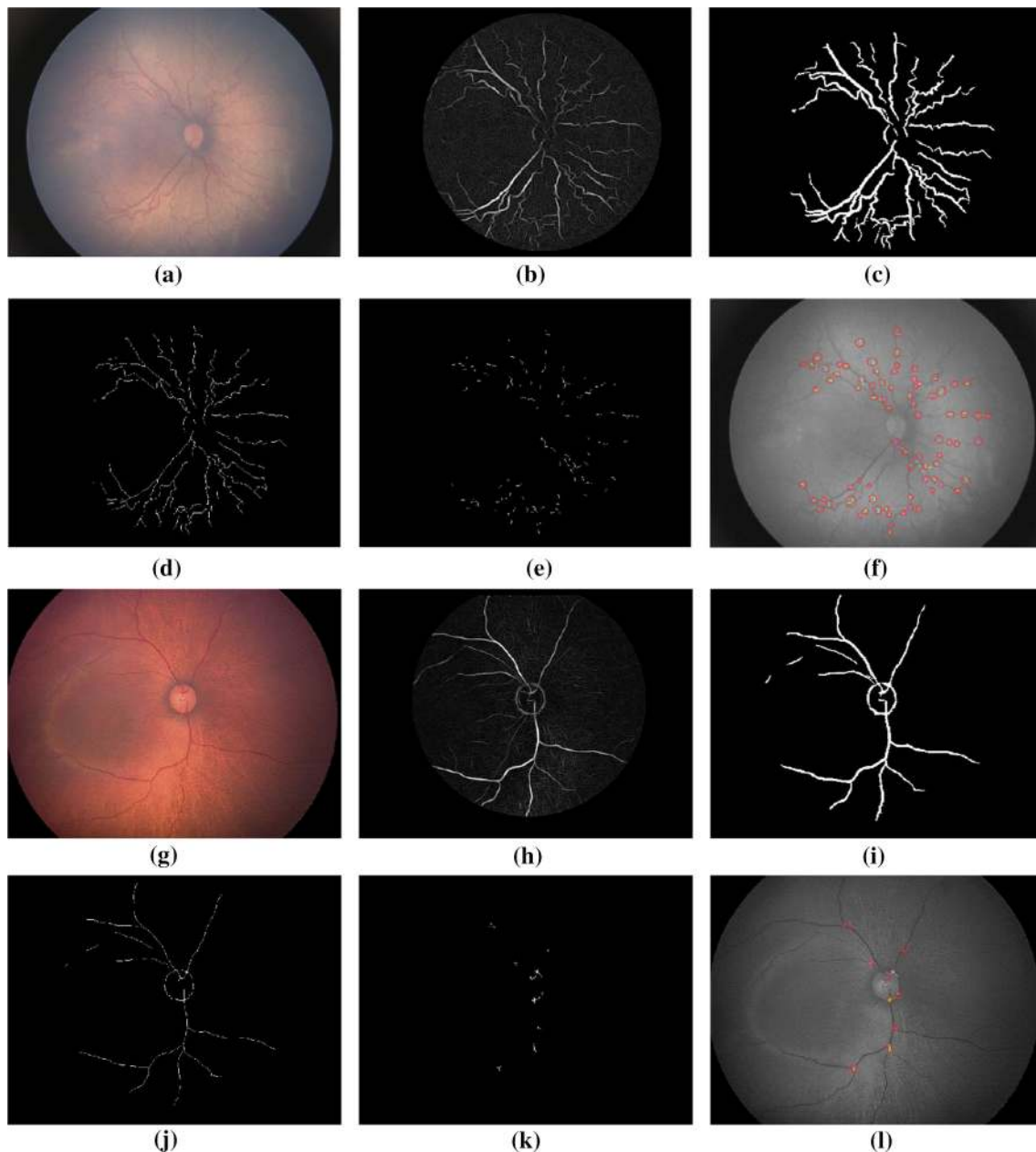


Fig. 7 Responses obtained for two sample input images, N18 and N28 of the KIDROP data set. The top two rows show the responses obtained for the image N18 with signs of Plus disease, and the bottom two rows show the output for the healthy image N28. **(a, g)** Input images, **(b, h)** multi-scale B-COSFIRE magnitude response maps obtained after pre-processing the green channel of the RGB input

images, **(c, i)** binarized images, **(d, j)** skeletons obtained from the binary images, **(e, k)** U-COSFIRE magnitude response maps, and **(f, l)** connected components labelled with different colours superimposed on the green channel of the input images. The connected components are encircled for better clarity

4.4.2 ROP detection using Oloumi et al's [33] angle-based method

We briefly describe the angle-based vessel tortuosity evaluation method proposed by Oloumi et al. [33] for the diagnosis of Plus disease and ROP detection. We implement this method and evaluate it on top of the vessel map detected by the B-COSFIRE filter that we employed in this

work, in order to directly compare its performance for vessel tortuosity estimation with that of the proposed U-COSFIRE filters.

In addition to the response $R_{M,(\hat{a},\hat{\sigma})}(x,y)$ of a rotation-tolerant multi-scale B-COSFIRE filter for vessel segmentation, we also compute the orientation map $O_{M,(\hat{a},\hat{\sigma})}(x,y)$:

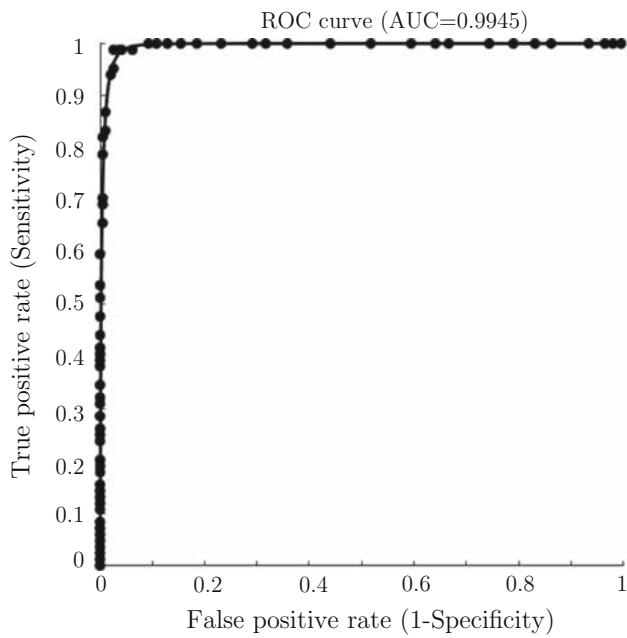


Fig. 8 ROC curve obtained for the connected-component-based ROP detection method

$$O_{M,(\hat{\alpha},\hat{\sigma})}(x,y) = \arg \max_{\phi \in \{0, \frac{\pi}{12}, \dots, \frac{11\pi}{12}\}} \{C_{M,(\phi,\hat{\alpha},\hat{\sigma})}(x,y)\} \quad (17)$$

that contains, at each pixel location (x, y) , the orientation ϕ in radians at which the B-COSFIRE filter achieves the highest response.

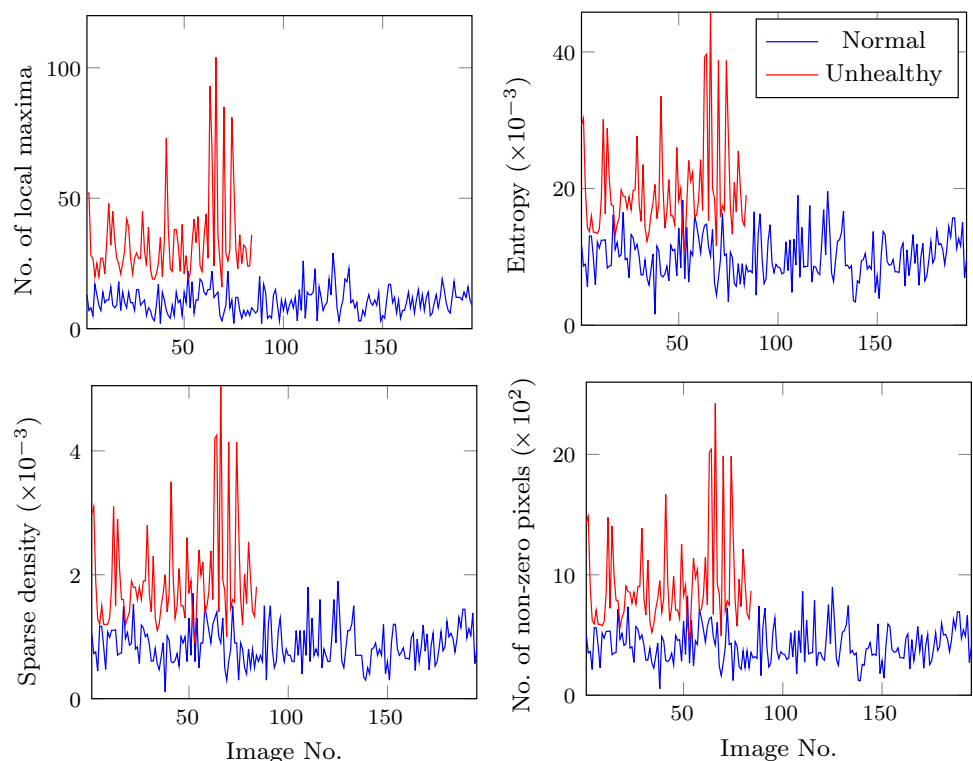
The method proposed by Oloumi et al. [33] for vessel tortuosity quantification requires the removal of the optic disc (OD), which we perform by cropping an elliptical region (of size 48×40 pixels), whose centre point is indicated by the user. We then apply the thresholding and thinning operations described in Sect. 3. The pixels surrounded by three or more neighbours are then extracted from the skeleton image to identify branching points. These points are dilated by using morphological dilation operation. The image with the dilated branching points is XOR-ed with the original skeleton image so that branches are removed, and only vessel segments can be used for the evaluation of tortuosity.

The median absolute deviation (MAD) measurement for each location along the thinned vessel segments [37] is used to estimate the linearity of every pixel. The pixel locations whose MAD value is 0 belong to linear structures, while the others belong to curved structures. Next, the locations with nonzero MAD values are used to compute the local tortuosity index (LTI) [32]:

$$LTI(x,y) = \frac{1}{2} \left\{ \left| \sin(O_{M,(\hat{\alpha},\hat{\sigma})}(x,y) - O_{M,(\hat{\alpha},\hat{\sigma})}(x-1,y-1)) \right| + \left| \sin(O_{M,(\hat{\alpha},\hat{\sigma})}(x,y) - O_{M,(\hat{\alpha},\hat{\sigma})}(x+1,y+1)) \right| \right\} \quad (18)$$

Finally, the average tortuosity μ_{s_i} of every nonlinear segment s_i with P pixels is computed as:

Fig. 9 Plot of various performance measurements: top left: number of local maximum points (LM), top right: entropy (E), bottom left: sparse density (SD), and bottom right: nonzero pixel values (NZ) of the normal (shown in blue) and unhealthy (shown in red) images



$$\mu_{s_i} = \frac{1}{P} \sum_{j=1}^P \text{LTI}(x_j, y_j) \quad (19)$$

Based on the average tortuosity μ_{s_i} measure, each segmented portion is marked as either *abnormally tortuous* or *normal* according to a given threshold. This threshold is obtained by comparing the tortuosity measures for the normal and tortuous vessels, given by an ophthalmologist on the training images (we set the threshold equal to 0.01). Then, we compute the length of each tortuous segment: for vertically or horizontally adjacent pixels we add 1, and for diagonally adjacent pixels we add $\sqrt{2}$ [11].

As per recommendations made by the International Classification of ROP (ICROP), high tortuosity in any of two quadrants is an indication of Plus disease. The total length of abnormal tortuous vessel segments in each of the four quadrants of a retinal image is computed, and based on the minimum tortuous vessel length thresholds (L_{\min}) determined from the training images, Plus disease is diagnosed if:

- one quadrant has $L_{\min} \geq 350$ pixels or
- any two quadrants have $L_{\min} \geq 175$ pixels.

The method of Oloumi et al. [33] achieved a specificity of 0.93 and a sensitivity of 0.9 (76 Plus cases were detected, and correct ROP diagnosis was provided). More specifically, it classified 260 images correctly out of the 279 images in the KIDROP validation set ($TP = 76$ and $TN = 184$). Among the misclassified cases, 11 are FP and 8 are FN. In Fig 10, we show examples of the results achieved by the method of Oloumi et al. [33] on two images of patients with and without Plus disease. The total length of the tortuous vessel segments in the four quadrants of the image in Fig 10a with signs of Plus disease are 239, 180, 330 and 297 pixels (red segments in Fig. 10c). The counterpart values in the image of the healthy patient are 45, 2, 61 and 57 pixels.

5 Results comparison and discussion

We compared the results of the proposed U-COSFIRE filters for vessel tortuosity estimation and diagnosis of ROP in retinal images with those obtained by the method of Oloumi et al. [33]. We evaluated the performance of the algorithms for tortuosity analysis on the same vessel segmentation map, namely the one provided by the B-COSFIRE filter that we extended with a multi-scale processing.

Furthermore, we included other approaches for the quantification of vessel tortuosity in the response map of the proposed U-COSFIRE filters. Given the response map of the U-COSFIRE filter, we computed the number of

pixels with nonzero value (NZ), sparse density (SD), the number of local maximum points (LM) and the entropy (E).

In Table 3, we report the results of the considered methods. The method that we propose provides a decision on the presence of Plus disease that allows the diagnosis of a patient with ROP based on counting the connected components of the U-COSFIRE filter response map (U-COSFIRE+CC), achieved the highest F-score and sensitivity in comparison with the method of Oloumi et al. [33] on the KIDROP data set. Moreover, we computed the MCC and κ values for a specific value of the threshold t^* , the one which gives the highest average F-score value on the data set for each of the methods using U-COSFIRE filters. The obtained MCC and κ values are summarized in Table 3. They confirm the effectiveness of the proposed methodology in ROP detection.

The results of the local maxima analysis from the magnitude response map of the U-COSFIRE filter (U-COSFIRE + LM) are comparable with those achieved by counting the connected components (U-COSFIRE+CC). Hence, the LM feature can be used as an additional tool for ROP screening. While the other features, namely NZ, SD and E, achieve promising results, they fall short of the best performance we achieved.

It is worth pointing out that the classification errors made by our method are all false positive detections, which are caused by the presence of particular artefacts in the images (Fig. 11). The approach that we propose did not detect any false negative cases, something which is desirable in medical practice as it avoids putting at risk the health of patients. Among the causes of false positive detections, we observed that thin choroidal vessels in the background (Fig. 11a) may also be segmented resulting in the false detection of blood vessels and the consequent erroneous estimation of the vascular curvature. Tessellation, which is a condition in retina caused due to reduced pigmentation of the retinal pigment epithelium, may also lead to false detection of blood vessels (Fig. 11b). Occlusion of blood vessels (Fig. 11c), termed as small pupil artefact, is another main cause of misclassification. In this case, blood vessels are invisible and hence result in poor segmentation. Finally, the foveal and peri-foveal reflexes (Fig. 11d) present in the infant fundus images may also lead to misclassification of images.

We performed sensitivity analysis to study the effect of each of the parameters σ , σ_0 , and α by changing the value of one parameter at a time while keeping the other two fixed. The parameters are analysed one at a time by incrementing or decrementing the optimal values ($\sigma = 0.52$, $\sigma_0 = 0.8$, $\alpha = 0.5$) of the concerned parameters in steps of 0.1. We computed the average MCC value on

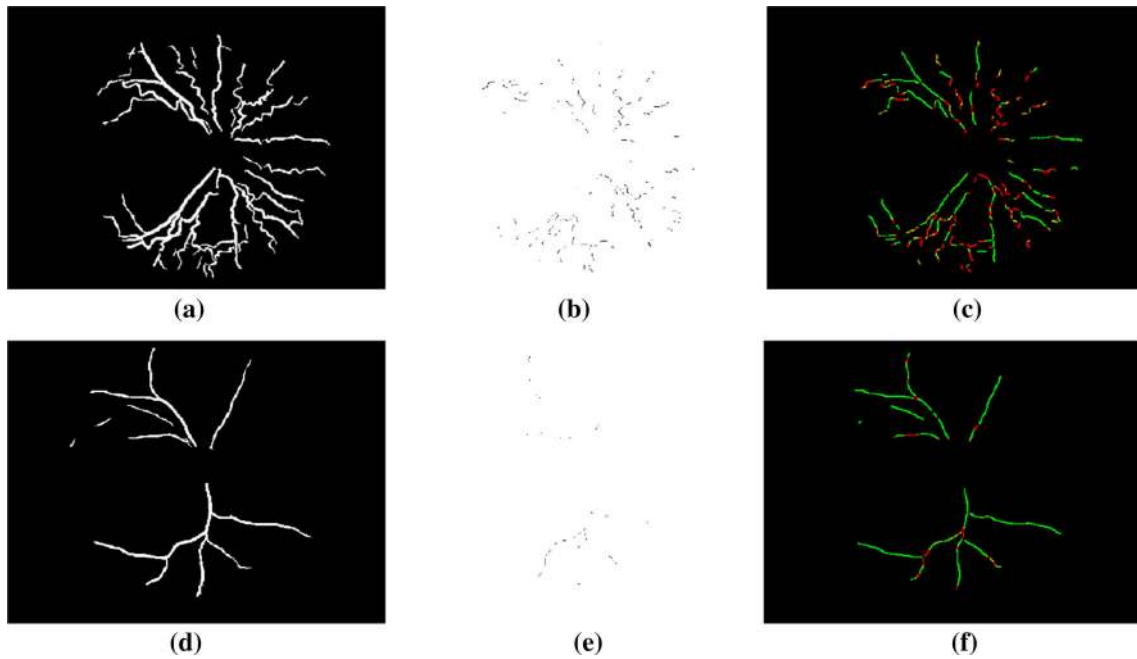


Fig. 10 Response maps obtained for the images shown in Fig. 7; (a, d) binarized response maps of input images obtained after OD removal, (b, e) black pixels indicate locations with nonzero MAD values images are inverted for better clarity), (c, f) colour-coded

tortuous vessel segments; green segments represent linear structures, and red segments represent curved segments. The top row corresponds to responses obtained for the image N18 with ROP, and the bottom row for image N28 with healthy retina

Table 3 Results obtained for the KIDROP data set (195 without Plus, 84 with Plus)

Method	Se	Sp	F-score	MCC	κ
U-COSFIRE + conn. comp. (CC)	0.98	0.97	0.97	0.95	0.94
U-COSFIRE + local maxima (LM)	0.95	0.95	0.93	0.89	0.89
U-COSFIRE + nonzero pixel values (NZ)	0.94	0.85	0.83	0.75	0.74
U-COSFIRE + sparse density (SD)	0.95	0.86	0.84	0.77	0.76
U-COSFIRE + entropy(E)	0.95	0.85	0.83	0.76	0.77
LTI (Oloumi et al. [33])	0.90	0.93	0.88	0.84	0.84

All methods receive as input the binarized and thinned response maps of the multi-scale B-COSFIRE filter for vessel segmentation described in Sect. 3.2

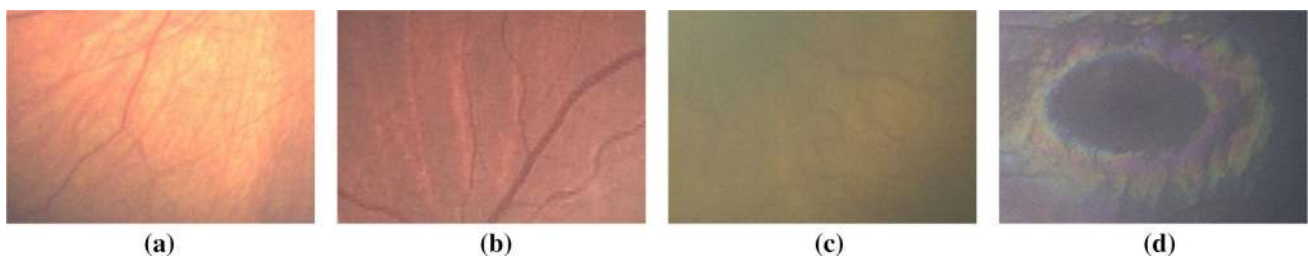


Fig. 11 Image regions responsible for misclassification: **a** dense vessel regions showing retinal and choroidal vessels, **b** tessellations seen as white ridges in the background, **c** occluded vessel regions, and **d** foveal and peri-foveal reflex in the retina.

the training set of 10 images for each experiment. The threshold t^* that we used is the maximum number of connected components obtained for the normal images in the training data set with the optimal parameters. In Table 4, we report the outcomes of the performed

experiments. The sensitivity analysis shows that the parameter σ is the least sensitive followed by σ_0 and α . The insensitivity of the parameter σ accounts for the fact that the input to the U-COSFIRE filter is the thinned response

Table 4 Sensitivity analysis of the parameters σ , σ_0 and α of the proposed U-COSFIRE filter

	Offset	- 0.4	- 0.3	- 0.2	- 0.1	0	0.1	0.2	0.3	0.4
σ	MCC_{σ}	1	1	1	1	1	1	1	1	1
σ_0	MCC_{σ_0}	0.82	0.82	1	1	1	0.66	0.66	0.66	0.66
α	MCC_{α}	0	0	0.33	0.33	1	0.82	0.82	0.82	0.5

The parameters are investigated one at a time by offsetting the optimal values in intervals of 0.1. The average MCC is evaluated on the training images

Table 5 Results reported in related studies on ROP detection in retinal images

Study	Data set	Cases	Se	Sp
Heneghan et al. [16]	Private	12 H, 11 U	0.82	0.75
Gelman et al. [12]	Private	21 H, 13 U	0.76	0.76
Kiely et al. [21]	Private	92 images	0.91	0.86
Koreen et al. [23]	Private	14 H, 6 U	1.00	0.85
Wallace et al. [50]	Private	11 H, 5 U	0.82	0.80
Oloumi et al. [31]	TROPIC (Pr.)	91 H, 19 U	0.89	0.99
B-COSFIRE + Oloumi et al. [31, 33]	KIDROP (Pr.)	195 H, 84 U	0.90	0.93
B-COSFIRE + U-COSFIRE	KIDROP (Pr.)	195 H, 84 U	0.98	0.97

H and U stand for healthy and unhealthy cases, respectively

map obtained after multi-scale B-COSFIRE filtering process.

Notable is the fact that there are no public benchmark data sets of retinal fundus images for the evaluation of ROP detection methods. For discussion purposes, however, in Table 5 we include the results reported in existing works, which were obtained using different proprietary data sets. Only the results reported in the last two rows can be directly compared. In some studies, tortuosity evaluation is done on manually selected blood vessels restricted to a pre-defined area around the OD.

Heneghan et al. [16] and Kiely et al. [21] used tortuosity and width of blood vessels for ROP assessment. They used the length-to-chord measures for tortuosity evaluation. The results reported in [12] and [23] were based on tortuosity in arterioles alone by using an angle-based approach. Wallace et al. [50] and Oloumi et al. [31] proposed similar approaches that differ in how they defined tortuosity. The former used length-to-chord measures, while the latter used an angle-based approach, which relies on a local tortuosity index. In Table 5, we include only the works, which reported the achieved values of sensitivity and specificity for ROP classification. Although a direct comparison of the results is not possible, it is worth pointing out that our study is the largest one so far reported in the literature. Furthermore, all existing methods involve OD removal, often performed in a semi-automatic way with the manual input of the user (Fig. 10a, d), which is not required by our proposed method based on U-COSFIRE filters and

connected component analysis (Fig. 7c, i). The method that we propose has higher level of automation, in fact it is fully automatic, and it is more suitable to be employed in a mass screening programme.

A point of strength of the proposed method is that the decision process to diagnose the presence of ROP disease in an image is explainable. This is a direct consequence of the design that we made in close collaboration with medical doctors and experts in ROP disease, with the aim of deploying the proposed approach in medical studies on a large number of patients within the KIDROP programme in Bangalore. Further optimization of the proposed method for ROP diagnosis can be directed towards optimization of its parameters during medical trials and mass screening operations. Reinforcement learning strategies [26, 51] or online optimization techniques [18], such as those based on bandits optimization [22], can be explored to cope with distribution shifts in the characteristics of the images taken in different population groups or limiting diagnostic errors by including medical feedback. In the retinal images of patients with ROP, one can note large arterial tortuosity and dilation of veins. Future developments of the present work could also include categorization of extracted blood vessels into arteries and veins, which would then allow a focused analysis of arteriolar vessels to diagnose ROP more robustly. In addition to tortuosity, it would be worth to explore the impact of widths of blood vessels in ROP diagnosis.

6 Conclusion

We propose a highly effective methodology for ROP diagnosis of retinal fundus images and achieve very high results on a new data set that we collected in collaboration with the KIDROP programme. The method that we put forward is based on a novel U-COSFIRE filter for the detection of high tortuosity vessel points in retinal images, followed by tortuosity quantification by connected component analysis. The contributions of this work are threefold: (a) a method for the detection of tortuous vessels based on the novel U-COSFIRE filters, (b) the extension of the B-COSFIRE filters with a multi-scale processing framework and (c) a novel fully automated and explainable pipeline for ROP diagnosis, which is based on vessel segmentation followed by U-COSFIRE filtering and connected component analysis for the quantification of vessel tortuosity.

We achieved higher results ($Se = 0.98$, $Sp = 0.97$, $F = 0.97$) than those obtained by a state-of-the-art tortuosity quantification method proposed by Oloumi et al. [31, 33] ($Se = 0.90$, $Sp = 0.93$, $F = 0.88$) on the KIDROP data set. The results that we obtained demonstrate the effectiveness of the proposed methodology, which is now undergoing medical trial to be employed in large-scale diagnostics.

Compliance with ethical standards

Conflict of Interest: The authors declare that they have no conflict of interest.

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