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Abstract

The grid obstacle representation, or alternately, ℓ_1 -obstacle representation of a graph G = (V, E) is an injective function $f : V \to \mathbb{Z}^2$ and a set of point obstacles \mathcal{O} on the grid points of \mathbb{Z}^2 (where no vertex of V has been mapped) such that uv is an edge in G if and only if there exists a Manhattan path between f(u) and f(v) in \mathbb{Z}^2 avoiding the obstacles of \mathcal{O} and points in f(V). This work shows that planar graphs admit such a representation while there exist some non-planar graphs that do not admit such a representation. Moreover, we show that every graph admits a grid obstacle representation in \mathbb{Z}^3 . We also show NP-hardness result for the point set embeddability of an ℓ_1 -obstacle representation.

Keywords. Geometric graph, grid obstacle representation, obstacle number

1 Introduction

In 2010, Alpert et al. [AKL10] introduced the concept of *obstacle representation* of a graph which is closely related to visibility graphs [Gho07, GG13, GO97]. Their attempt was to represent every graph G = (V, E), |V| = n, |E| = m, in the Euclidean plane with a point set P = V and a set \mathcal{O} of polygonal obstacles such that for every edge $pq \in E$, p and q are visible in the Euclidean plane and every non-edge (a non-edge is a pair of vertices $p, q \in V$ with $pq \notin E$) is blocked by some obstacle $o \in \mathcal{O}$ or points in P. The smallest number of obstacles needed to represent a graph G is called the *obstacle number* of G and is denoted by obs(G). Clearly, $obs(G) \leq n(n-1)/2$.

Starting with the work of Alpert et al. [AKL10], there have been several studies [BCV15, DM15, FSS13, JS11, JS14, MPP12, MPS10, PS11, Sar11] on existential and optimization related questions on obstacle number. In [AKL10], Alpert et al. identified some families of graphs having obstacle number 1 and constructed graphs with obstacle number $\Omega(\sqrt{\log n})$. Pach et al. [PS11] showed the existence of graphs with arbitrarily large obstacle number using extremal graph theory. Dujmović et al. [DM15] proved a lower bound of $\Omega(n/(\log \log n)^2)$ on the obstacle number. Balko et al. [BCV15] showed that the obstacle number for general graphs is $O(n \log n)$ and for graphs with bounded chromatic number it is O(n).

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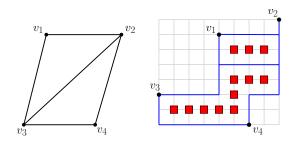


Figure 1: Grid obstacle representation of a graph; the ℓ_1 -obstacle representation in \mathbb{Z}^2 is on the right for the graph on the left side.

1.1 Obstacle representation problem

The essence of obstacle representation of a graph is about blocking the visibility in the Euclidean plane among pairs of points whose corresponding vertices do not have an edge. The idea of "blocking visibility" [DPT09, Mat09] between pairs of points in the Euclidean plane with a minimum set of point obstacles or blockers has resonance with the obstacle representation — consider the obstacle representation of a graph with a finite number of vertices but no edges. In the Euclidean plane, the shortest path and straight-line visibility are essentially the same. To generalize the definition of obstacle representation to other metric spaces, we replace *visibility blocking* in the Euclidean plane by *shortest path blocking* in a metric space. An obstacle representation of a graph *G* = (*V*, *E*) in a metric space (\mathcal{M} , δ) consists of an injective mapping from *V* to *P* \subseteq \mathcal{M} and a set of point obstacles to be placed on points of \mathcal{M} .

Definition 1 (Visibility in a metric space). Let (\mathcal{M}, δ) be a metric space, $\mathcal{O} \subseteq \mathcal{M}$ be the set of obstacles, and $S \subseteq \mathcal{M}$. Two points $p_1, p_2 \in S$ are visible if there exists a shortest path between p_1 and p_2 that is not blocked by any point from $\mathcal{O} \cup S$.

Observe that the shortest paths in \mathcal{M} depend on the metric δ , and need not be unique.

Definition 2 (Obstacle representation problem). *Given a graph* G = (V, E), *a metric space* (\mathcal{M}, δ) *and a point set* $P \subseteq \mathcal{M}$, *an obstacle representation of* G *in* (\mathcal{M}, δ) *consists of an injective mapping* $f : V \rightarrow P$ and a set of obstacles \mathcal{O} to be placed on points of \mathcal{M} , such that

- (*i*) for each edge $uv \in E$, f(u) and f(v) are mutually visible, that is, there exists a shortest path between f(u) and f(v) that is not blocked by any point from $\mathcal{O} \cup f(V)$ and
- (*ii*) for each non-edge $uv \notin E$, f(u) and f(v) are not visible.

The minimum number of obstacles required to get an obstacle representation of G in (\mathcal{M}, δ) is the δ -obstacle number of G and is denoted by δ -obs(G).

There is a minor technical point here though. In a discrete metric space like \mathbb{Z}^d , a path is defined as a path in the unit distance graph. Note that points corresponding to the vertices of the graph can also act as an obstacle. In the above definition, the obstacle representation is influenced by the metric space (\mathcal{M}, δ) and the obstacles. In the light of the above definition, Alpert et al.'s [AKL10] representation is an (\mathbb{R}^2, ℓ_2) representation with polygonal obstacles. In this paper,

we restrict ourselves to (\mathbb{Z}^d, ℓ_1) with point obstacles. We term this representation as the *grid obstacle representation*, or alternately, ℓ_1 -obstacle representation of *G*. The *grid obstacle number* of *G* is the minimum number of obstacles needed for a grid obstacle representation of *G*. For the ℓ_1 -metric, the shortest path between two points is not unique. So, in the obstacle representation, we need to block all such shortest paths. Figure 1 illustrates the ℓ_1 -obstacle representation on \mathbb{Z}^2 .

Starting with this definition of ours [BGM⁺17], there has already been substantial interest in this particular representation, as evidenced from the works in [BM18, BCD⁺18, Pac16].

1.2 Our contribution

Apart from introducing a new obstacle representation of a graph, we deduce several existential and algorithmic results on this new representation. Section 2.1 shows that planar graphs admit ℓ_1 -obstacle representation in grids of size $O(n^4) \times O(n^4)$, whereas, in Section 2.2, we show that every graph admits a grid obstacle representation in \mathbb{Z}^3 . In Section 3, we show the existence of graphs that do not admit grid obstacle representation in \mathbb{Z}^2 . On the algorithmic side, we show a hardness result for the point set embeddability of an ℓ_1 -obstacle representation in Section 4. Our work poses several interesting existential and algorithmic questions regarding ℓ_1 -obstacle representability, and more generally, geodesic representation of graphs in different metric spaces.

2 Existential results

2.1 Planar graphs in \mathbb{Z}^2

In this section, we show that every planar graph admits a representation in (\mathbb{Z}^2, ℓ_1) . To this end, we use results on straight-line embeddings of planar graphs on grids. By straight-line embedding of a planar graph G = (V, E) on a grid, we mean a planar embedding of G where the vertices lie on grid points and edges are represented by straight lines joining the vertices. We use the straight-line embedding of a triangulated planar graph with n vertices on an $O(n) \times O(n)$ grid due to [FPP90, Sch90].

Theorem 3 ([FPP90, Sch90]). *Each planar graph with* $n \ge 3$ *vertices has a straight-line embedding on an* $(n-2) \times (n-2)$ *grid.*

In this embedding, let *c* be the minimum distance between the set of all grid points and the set of all embedded edges. Let *A* be the corresponding grid point and \overline{BC} be the corresponding edge that contributes to the minimum distance. Consider $\triangle ABC$. Its area \triangle is $\frac{c \cdot a}{2}$, where *a* is the length of \overline{BC} . Now, since the embedding is on an $(n-2) \times (n-2)$ grid, $a < \sqrt{2}n$. Also the area \triangle is $\ge \frac{1}{2}$ because the absolute value of the determinant¹ corresponding to the doubled area of the triangle is at least 1 as *A*, *B* and *C* have integral coordinates and $A \notin \overline{BC}$. So, 2Δ is a positive integer and $2\Delta \ge 1$. Hence, we have $c = 2\Delta/a > 2 \cdot 0.5/\sqrt{2}n = 1/\sqrt{2}n$. Now, if we "blow up" or refine the grid uniformly $\mathcal{C} \cdot n$ times, where $\mathcal{C} \gg \sqrt{2}$ is a constant, then *c* will be at least $\mathcal{C}/\sqrt{2}$. Hence, we have the following lemma.

 $\begin{vmatrix} 1 & x_C & y_C \end{vmatrix}$

 $[\]begin{vmatrix} 1 & x_A & y_A \end{vmatrix}$

 $[\]begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \begin{bmatrix} x_B \\ y_B \end{bmatrix}$

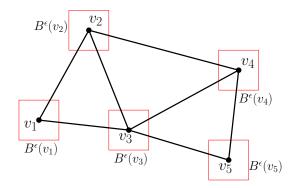


Figure 2: The *c*-box for each vertex.

Lemma 4. Every planar graph admits a straight-line embedding on an $O(n^2) \times O(n^2)$ unit grid such that the distance between a vertex v and a straight-line edge e not containing v is greater than some constant C. In this $O(n^2) \times O(n^2)$ grid, any two vertices of G are at least $\Omega(n)$ apart, and an edge and a vertex are at least at a distance C apart.

Using this result, we can show the existence of an obstacle representation of planar graph in (\mathbb{Z}^2, ℓ_1) . The idea is the following:

- 1. Obtain a straight-line embedding of a planar graph as in Lemma 4.
- **2.** Each vertex v has around it an ϵ -box $B^{\epsilon}(v)$, a square box with sides of length ϵ , such that
 - for two distinct vertices u and v, $B^{\epsilon}(v) \cap B^{\epsilon}(u) = \emptyset$,
 - the minimum distance between two ϵ -boxes $B^{\epsilon}(u)$ and $B^{\epsilon}(v)$ is large (say q_1), and
 - the minimum distance between an ϵ -box $B^{\epsilon}(v)$ and a straight-line edge e not containing v is also adequate (at least q_2).
- **3.** Consider a δ -tube $T^{\delta}(e)$ (where $T^{\delta}(e)$ is the Minkowski sum of the embedded edge e and a disk of radius δ) around each straight-line edge e such that
 - for each pair of straight-line edges e_1 and e_2 that do not share a common vertex, we have $T^{\delta}(e_1) \cap T^{\delta}(e_2) = \emptyset$,
 - for each pair of distinct straight-line edges e_1 and e_2 sharing a common vertex v, we have $(T^{\delta}(e_1) \cap T^{\delta}(e_2)) \subset B^{\epsilon}(v)$, and
 - for a straight-line edge *e* and a vertex $v \notin e$, we have $B^{\epsilon}(v) \cap T^{\delta}(e) = \emptyset$.
- **4.** Refine the grid in such a way that we can convert the straight-line edge *e* into a Manhattan path that lies inside $T^{\delta}(e)$.

Now, in this embedding, consider $B^{\epsilon}(v)$ as shown in Figure 2, with $\epsilon \ll C$, where C is the constant defined in Lemma 4. The length ϵ is chosen in such a way that $q_1 = \Omega(n - \epsilon) = \Omega(n)$ and $q_2 = (C - \sqrt{2}\epsilon)$ is an adequately large constant (to be fixed as per Observation 5). Let us consider a vertex v with deg(v) > 1. The straight-line edges that contain v cut $B^{\epsilon}(v)$. Let $\delta(v)$ be

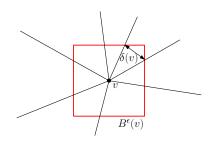
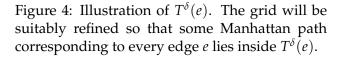


Figure 3: Illustration of $\delta(v)$



the minimum Euclidean distance between consecutive intersection points of $B^{\epsilon}(v)$ and straightline edges containing v as shown in Figure 3. Let $\delta < \frac{1}{10} \min_{v \in V} \{\delta(v)\}$. Consider the tubular region around a straight-line edge e of length δ as shown in Figure 4. Let the region be denoted by $T^{\delta}(e)$. The choice of δ guarantees the following observations about $B^{\epsilon}(v)$ and $T^{\delta}(e)$.

Observation 5. For the particular choices of ϵ and δ , the following properties of $T^{\delta}(e)$ and $B^{\epsilon}(v)$ are true:

- (*i*) for each pair of straight-line edges e_1 and e_2 that do not share a common vertex, $T^{\delta}(e_1) \cap T^{\delta}(e_2) = \emptyset$,
- (*ii*) for each pair of distinct straight-line edges e_1 and e_2 sharing a common vertex v, $(T^{\delta}(e_1) \cap T^{\delta}(e_2)) \subset B^{\epsilon}(v)$, *i.e.*, there is no intersection between δ -tubes outside the ϵ -boxes;
- (iii) for a vertex v and a straight-line edge e not containing v, $B^{\epsilon}(v) \cap T^{\delta}(e) = \emptyset$.

Once we have fixed ϵ and δ , we then refine the grid further so that the width of the tube around each edge becomes sufficiently large. To do this refinement, we need to bound the value of δ which is done in the following lemma.

Lemma 6. The value of δ is equal to C'/n^2 , for some constant C'.

Proof. Let minimum $\delta(v)$ be achieved by two straight line edges vu and vw. Let A and B be the two points where the boundary of $B^{\epsilon}(v)$ intersects with vu and vw, respectively. Hence, $\delta(v) = AB$. Now, if the $\angle AvB$ is more than 90°, then $|AB| > |vB| \ge \epsilon/2$. Otherwise, the following two cases arise.

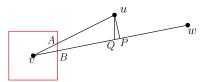


Figure 5: Fixing the value of δ on the $O(n^2) \times O(n^2)$ grid

Case 1: Assume that *A* and *B* are on the same side of $B^{\epsilon}(v)$ as shown in Figure 5. Let uP be the perpendicular on vw and uQ be parallel to *AB*. Note that $|vA| \ge \epsilon/2$. By Lemma 4, |uP| > C. So,

clearly |uQ| > C. Since the grid is of size $O(n^2) \times O(n^2)$, we have $|vu| < C_1 n^2$, for some constant C_1 . Now, as $\triangle vAB$ and $\triangle vuQ$ are similar, we have,

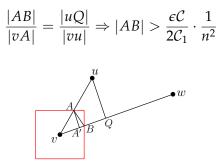


Figure 6: Fixing the value of δ on the $O(n^2) \times O(n^2)$ grid.

Case 2: Assume that *A* and *B* are on two consecutive sides of $B^{\epsilon}(v)$, as shown in Figure 6. Let *AA'* and *uQ* be two perpendiculars on *vw*. In this case, |AB| > |AA'|. Using the similarity of $\triangle vAA'$ and $\triangle vuQ$, we have $|AA'| > C_2/n^2$, for some constant C_2 . Since |AB| > |AA'|, we have $\delta(v) > C_2/n^2$.

Now by choosing an appropriate constant C', we have $\delta = C'/n^2$ for all cases.

Now refine the grid sufficiently until the length of each grid edge becomes $\delta/100$, i.e., $C'/(100n^2)$. Thus we have a straight-line embedding of a planar graph on a refined grid of size $O(n^4) \times O(n^4)$. Moreover, in this embedding, there are enough grid points within $T^{\delta}(e)$ to convert the edge e into a Manhattan path inside $T^{\delta}(e)$. Next, we convert the straight-line edge e connecting u and v into a Manhattan path M(e) between u and v in the refined grid. The existence of such a Manhattan path is guaranteed by the following lemma.

Lemma 7. Let *e* be a straight-line edge connecting *u* and *v* and the length of the refined grid edge be $C'/100n^2$. Then there exists a Manhattan path M(e) connecting *u* and *v* such that M(e) lies inside $T^{\delta}(e)$.

Proof. Without loss of generality, consider the edge to be of positive slope. In the refined grid, consider the grid cells that are being intersected by the straight-line edge *e*. Since *e* is a straight-line, two consecutive grid cells that are being intersected by *e* have the following property: the second grid cell is either to the north, to the east or to the north-east corner of the previous grid cell as shown in Figure 7. Consider a Manhattan path M(e) from *u* to *v* that lies inside the union of

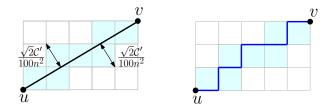


Figure 7: Conversion of a straight-line edge into a Manhattan path

those (closed) grid cells. Any point on M(e) is within a distance of $\sqrt{2C'}/100n^2$ from the straightline edge *e* because each point on a grid cell that is being intersected by the straight-line edge *e* is within the same distance from *e*. Hence, M(e) lies inside $T^{\delta}(e)$. By the above lemma, we have obtained an embedding of the planar graph on a refined grid of size $O(n^4) \times O(n^4)$, where each edge *e* is represented by a Manhattan path M(e). Also the conversion of a straight-line edge *e* into a Manhattan path M(e) is done in such a way that it avoids the corner points of $B^e(v)$. Suppose that a Manhattan path *P* passes through a corner point, say *x*, of $B^e(v)$. Consider the grid points *p* and *q* on *P*, such that *p* lies before *x*, *q* lies after *x*, they differ in both coordinates and the distance between *p* and *q* is the smallest possible. Modify the Manhattan path *P* by replacing the part between *p* and *q* by some other Manhattan path between *p* and *q* that does not go through *x*.

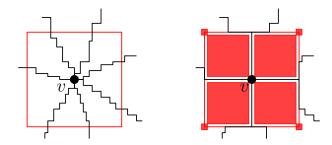


Figure 8: Modification of M(e) inside $B^{\epsilon}(v)$

Note that two Manhattan paths inside $B^{\epsilon}(v)$ may have non-empty intersection which might lead to a Manhattan path between two vertices of a non-edge. So, in order to block all the nonedges, we modify the Manhattan paths inside $B^{\epsilon}(v)$ and add obstacles inside $B^{\epsilon}(v)$ in the way as shown in Figure 8. While entering $B^{\epsilon}(v)$, if an M(e) intersects the horizontal (vertical) boundary of $B^{\epsilon}(v)$, the path is altered to travel along the same horizontal (vertical) boundary of $B^{\epsilon}(v)$ to intersect the vertical (horizontal) grid line through v, and then follow the vertical (horizontal) grid line to v. The new path that consists of M(e) outside $B^{\epsilon}(v)$ and the altered path inside $B^{\epsilon}(v)$ is also a Manhattan path. We do this modification for all $B^{\epsilon}(v)$ s. Finally, we place obstacles on the four corner points of $B^{\epsilon}(v)$ and on all the grid points inside $B^{\epsilon}(v)$ except the grid points on the boundary of $B^{\epsilon}(v)$ and the vertical and horizontal line containing v. This is shown in Figure 8. We also place obstacles on each empty grid point outside $B^{\epsilon}(v)$ s. We show that this embedding is an obstacle representation of the planar graph on an $O(n^4) \times O(n^4)$ grid.

Let us color all the paths present in the embedding into two colors, *green* (G) and *blue* (B). The portion of a path that is inside $B^{\epsilon}(v)$, for some $v \in V$, is colored green and all the remaining portion of the path is colored blue. We apply this coloring technique for all the paths in the embedding. For this coloring, we have the following lemma.

Lemma 8. Each Manhattan path that starts and ends at some vertices is of the form GBG, i.e., the path starts with a green portion, has a following blue portion and ends with another green portion.

Proof. Let \mathcal{P} be a path in the embedding that starts at u and ends at v. Since the starting and ending portion of \mathcal{P} belongs to $B^{\epsilon}(u)$ and $B^{\epsilon}(v)$ respectively, both the end portions will be green. If there is only one blue portion in \mathcal{P} between these starting and ending green portions, then \mathcal{P} is of the form GBG. Hence, without loss of generality, assume that there are two blue portions. All the blue portions have to be disjoint because of Observation 5 and Lemma 7. So, there must be a green portion between these two blue portions. Hence, the path is of the form GBGBG.

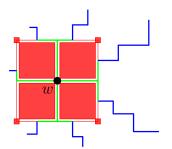


Figure 9: Path of the form GBGBG is not Manhattan

Let the middle green portion of \mathcal{P} belong to $B^{\epsilon}(w)$. Notice that according to our definition, w also acts as an obstacle. By the placement of the obstacles, including the corners of $B^{\epsilon}(w)$, it is clear that both the blue portions must touch the same side of $B^{\epsilon}(w)$. See Figure 9. The path can not be a straight Manhattan path also because of the corner obstacles. Hence, \mathcal{P} can not be a Manhattan path and each Manhattan path is of the form GBG.

Using this lemma, we show that each edge $uv \in E$ corresponds to a Manhattan path between u and v.

Lemma 9. There is an edge $uv \in E$ if and only if there is a Manhattan path between u and v in the embedding.

Proof. By Lemma 7, it is clear that each edge uv in the planar graph is represented by a Manhattan path M(uv) connecting u and v.

Conversely, in the embedding, let us assume that there is a Manhattan path \mathcal{P} connecting u and v. By Lemma 8, P is of the form GBG. Note that all the blue portions lie outside the ϵ -boxes and are disjoint because of Observation 5. Hence, the blue portion of \mathcal{P} is exactly a blue portion of some M(e). Let us now assume that the two ends of this blue portion of M(e) touch two boxes $B^{\epsilon}(u)$ and $B^{\epsilon}(v)$, respectively. This implies that e is incident to both u and v. Because of Observation 5, if a blue portion of M(e) touches some $B^{\epsilon}(v)$, then e is incident to v. Hence, the Manhattan path \mathcal{P} connecting u and v in the embedding represents the edge $uv \in E$.

Hence, we have the following theorem.

Theorem 10. Every planar graph admits a (\mathbb{Z}^2, ℓ_1) obstacle representation on a grid of size $O(n^4) \times O(n^4)$.

2.2 Embedding in \mathbb{Z}^3

In this section, we show the existence of an ℓ_1 -obstacle representation for any graph in \mathbb{Z}^3 . The proof is also constructive and is based on the following theorem by Pach *et al.* [PTT97].

Theorem 11 ([PTT97]). For every fixed $r \ge 2$, any *r*-colorable graph with *n* vertices has a straight-line embedding in \mathbb{Z}^3 on a grid of size $O(r) \times O(n) \times O(rn)$ such that no two edges intersect.

First, we construct a straight-line embedding of an *r*-colorable graph in a refined grid such that the distance between a vertex *v* and a straight-line edge *e* not containing *v* is *sufficiently large*. To

do that, we give a bound on the minimum distance of a grid point from a straight-line edge in the embedding that is obtained from Theorem 11. Clearly, this distance is smaller than or equal to the distance of a vertex from a straight-line edge. Using similar arguments as in section 2.1, we get that the minimum distance of a grid point from a straight-line edge is O(1/rn), where r is the chromatic number of the graph. Now we blow up the grid uniformly by a factor of $C \cdot rn$ such that the distance between a vertex v and a straight-line edge e not containing v becomes greater than the constant C. Hence, we have the following lemma.

Lemma 12. Every *r*-colorable graph admits a straight-line embedding on a grid of size $O(r^2n) \times O(rn^2) \times O(r^2n^2)$ such that two vertices are apart by a distance of at least *C* and a vertex and an edge are also apart by a distance of at least *C*, where *C* is a constant.

The idea of the proof is similar to the proof presented in section 2.1. The idea is as follows:

- 1. Obtain a straight-line embedding of an *r*-colorable graph as in Lemma 12.
- **2.** Consider an ϵ -cube $C^{\epsilon}(v)$, a cube of length ϵ , around each vertex v such that
 - for two distinct vertices *u* and *v*, $C^{\epsilon}(v) \cap C^{\epsilon}(u) = \emptyset$,
 - distance between two ϵ -cubes $C^{\epsilon}(u)$ and $C^{\epsilon}(v)$ is large (say q_1), and
 - distance between an ϵ -cube $C^{\epsilon}(v)$ and a straight-line edge e not containing v is also adequate (at least q_2).
- **3.** Consider a δ -tube $T^{\delta}(e)$ (where $T^{\delta}(e)$ is the Minkowski sum of the embedded edge e and a ball of radius δ) around each straight-line edge e such that
 - for each pair of straight-line edges e_1 and e_2 that do not share a common vertex, $T^{\delta}(e_1) \cap T^{\delta}(e_2) = \emptyset$,
 - for each pair of distinct straight-line edges e_1 and e_2 sharing a common vertex v, we have $(T^{\delta}(e_1) \cap T^{\delta}(e_2)) \subset C^{\epsilon}(v)$, and
 - for a vertex *v* and a straight-line edge *e* not containing *v*, $C^{\epsilon}(v) \cap T^{\delta}(e) = \emptyset$.
- **4.** Refine the grid in such a way that we can convert the straight-line edge *e* into a Manhattan path that lies inside $T^{\delta}(e)$.

Now, let us consider $\epsilon \ll C$, where C is the constant given in Lemma 12. The value of ϵ is chosen in such a way that both $q_1 = (C - \epsilon)$ and $q_2 = (C - \sqrt{2}\epsilon)$ are adequately large constants. Next, we fix δ to be a constant such that $\delta < \frac{1}{10} \min_{v \in V} \{\delta(v)\}$, where $\delta(v)$ is the minimum Euclidean distance between consecutive intersection points of $C^{\epsilon}(v)$ and straight-line edges containing v. The choice of δ guarantees the following observations about $C^{\epsilon}(v)$ and $T^{\delta}(e)$.

Observation 13. For the particular choices of ϵ and δ , the following properties of $T^{\delta}(e)$ and $C^{\epsilon}(v)$ are true:

- 1. For each pair of straight-line edges e_1 and e_2 that do not share a common vertex, $T^{\delta}(e_1) \cap T^{\delta}(e_2) = \emptyset$.
- 2. For each pair of distinct straight-line edges e_1 and e_2 sharing a common vertex v, $(T^{\delta}(e_1) \cap T^{\delta}(e_2)) \subset C^{\epsilon}(v)$, *i.e.*, there is no intersection between δ -tubes outside the ϵ -cubes.

3. For a vertex v and a straight-line edge e not containing v, $C^{\epsilon}(v) \cap T^{\delta}(e) = \emptyset$.

Now, we bound the value of δ . For bounding the value of δ , we proceed in a similar way as in Lemma 6 and we get that $\delta = \frac{C'}{r^2 n^2}$, where C' is a constant and r is the chromatic number of the graph. Next we refine the grid sufficiently until the length of each grid edge becomes $\frac{C'}{100 \cdot r^2 n^2}$. This is done to ensure that there are enough grid points within $T^{\delta}(e)$ to convert the edge e into a Manhattan path inside $T^{\delta}(e)$. The conversion of a straight-line edge e connecting u and v into a Manhattan path M(e) between u and v is done in the similar way as in Lemma 7 in section 2.1. Also the conversion of the straight-line edge is done in such a way that it avoids the edges of the cube $C^{\epsilon}(v)$. Hence, we have obtained an embedding of an r-chromatic graph on a refined grid of size $O(r^4n^3) \times O(r^3n^4) \times O(r^4n^4)$, where each edge e is represented by a Manhattan path M(e).

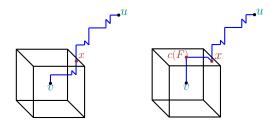


Figure 10: Modification of a Manhattan path inside $C^{\epsilon}(v)$.

Next, we modify the Manhattan paths inside $C^{\epsilon}(v)$ in the way as shown in Figure 10. For each square face *F* of $C^{\epsilon}(v)$, let c(F) be the point of intersection of the diagonals of *F*. Each Manhattan path M(e) enters the cube $C^{\epsilon}(v)$ through a point, say *x*, on some square face *F* of $C^{\epsilon}(v)$. Now, alter the portion of the Manhattan path M(e) inside $C^{\epsilon}(v)$ as follows: (i) take any Manhattan path from *x* to c(F) and then (ii) take the straight-line path from c(F) to *v*. We do this modification for every Manhattan path inside $C^{\epsilon}(v)$. Now we place obstacles on the edges of $C^{\epsilon}(v)$ and everywhere inside the cube $C^{\epsilon}(v)$ except square faces and the axis parallel straight-lines containing *v*. We do this modification for every cube $C^{\epsilon}(v)$. The Manhattan path M(e) between *u* and *v* is now modified to another path containing the altered path inside $C^{\epsilon}(u)$, followed by the portion of M(e) outside $C^{\epsilon}(u)$ and $C^{\epsilon}(v)$, and finally the altered path inside $C^{\epsilon}(v)$. Next we place obstacles on each empty grid point throughout the grid. Note that the modified paths are also Manhattan paths. Hence, we have the following theorem.

Theorem 14. Every *r*-colorable graph admits a (\mathbb{Z}^3, ℓ_1) obstacle representation on an $O(r^4n^3) \times O(r^3n^4) \times O(r^4n^4)$ grid.

2.3 Embedding in a horizontal strip

In this section, we study the ℓ_1 -obstacle representation in \mathbb{Z}^2 of a graph *G* inside a *horizontal strip*. A *horizontal strip* is a grid where the *y*-coordinates are bounded but the *x*-coordinates can be an arbitrary integer. Note that an ℓ_1 -obstacle representation in a horizontal strip for a graph implies its ℓ_1 -obstacle representation in \mathbb{Z}^2 . But the converse is not true. For example, C_n (cycle on *n* vertices) and K_n (complete graph on *n* vertices), for n > 4, admit ℓ_1 -obstacle representation in \mathbb{Z}^2

We present a compression technique to show that if a graph *G* admits an ℓ_1 -obstacle representation in a horizontal strip, then it admits an ℓ_1 -obstacle representation in a grid whose size is

linear in the number of vertices of *G* (assuming the height of the horizontal strip is constant). In effect, the importance of the result is in showing that if a graph admits an ℓ_1 -obstacle representation in a horizontal strip of height *b*, then it admits an ℓ_1 -obstacle representation in a polynomial-sized grid of size $b \times O(b^3 n)$.

Theorem 15. Let G admit an ℓ_1 -obstacle representation in a horizontal strip of height b. Then G has an ℓ_1 -obstacle representation on a finite grid of size $b \times O(b^3 n)$.

Proof. Let embd(G) be the ℓ_1 -obstacle representation of G in a horizontal strip of height b. For ease of exposition, we prove the theorem for the case where vertices of G have different x-coordinates in embd(G). The same argument holds for the case where some vertices have the same x-coordinates. We say that two vertices v_1 and v_2 are consecutive if there is no other vertex whose x-coordinate lies between the x-coordinates of v_1 and v_2 . If for any two consecutive vertices in embd(G), the difference between their x-coordinates is less than $O(b^3)$, then the theorem is immediate. Hence, we aim to prove, by a compression argument, that there exists an ℓ_1 -obstacle representation of G in a horizontal strip of height b such that

- the consecutive vertices of G in embd(G) remain consecutive in the new representation, and
- the difference between *x*-coordinates of two consecutive vertices is $O(b^3)$.

For the rest of the proof, we focus on a portion *T* of embd(G) between two consecutive vertices of *G*. We modify each of these portions to get a different representation of *G* in the same horizontal strip. The modification is as follows. Let *l* and *r* be the starting and ending vertical lines of *T*, respectively. Note that both *l* and *r* have *b* grid points each. In embd(G), there may be multiple Manhattan paths from a grid point of *l* to a grid point of *r*. Let $\mathcal{P}(i, j)$ denote the set of all Manhattan paths from the *i*-th grid point of *l* to the *j*-th grid point of *r*. In the new representation, we only maintain a single path for each $\mathcal{P}(i, j)$ and color those paths. In *T*, we retain all the colored paths and put obstacles everywhere else. Let us denote this new representation by embd'(G). Note that, in embd'(G), the connectivity between *i*-th grid point of *l* and *j*-th grid point of *r* is maintained, if $\mathcal{P}(i, j)$ is non-empty. Also, putting obstacles does not create any unwanted connectivity. Hence, we have the following claim:

Claim 16. The new representation embd'(G) is an ℓ_1 -obstacle representation of G.

Next we calculate the total number of *bend points* of *embd'*(*G*). A *bend point* of *embd'*(*G*) is a grid point on some colored path \mathcal{P} where \mathcal{P} changes direction. Since the height of the grid is bounded by *b*, the number of bend points on a colored path is at most 2b - 2. Moreover, every bend point is on some colored path of some $\mathcal{P}(i, j)$. Since there are at most $O(b^2)$ non-empty $\mathcal{P}(i, j)$ s, the total number of bend points is $O(b^3)$ in *T*.

Two bend points b_1 and b_2 are consecutive if there is no other bend point whose *x*-coordinate lies between the *x*-coordinates of b_1 and b_2 . The following claim shows that the horizontal distance between two consecutive bend points need not be arbitrarily large.

Claim 17. The representation embd'(G) can be modified to another representation embd''(G) where the number of vertical lines between two consecutive bend points is constant.

Proof. Note that in *embd*'(*G*) all the colored paths that cross the section between two consecutive bend points are horizontal. Moreover, in *embd*'(*G*), there are obstacles everywhere except colored

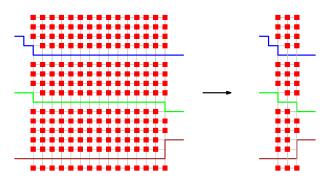


Figure 11: Illustration of compression

paths. Therefore, the vertical grid lines between two consecutive bend points can be compressed to only three vertical grid lines such that the first and the third vertical grid line is identical with the first and last vertical grid line of the section. We keep any one of the intermediate vertical grid lines of *embd*'(*G*) as the middle grid line. Repeating this process for every consecutive pair of bend points, we have an *embd*''(*G*) where the number of vertical lines between two consecutive bend points is constant.

So, in the representation *embd*["](*G*), the total number of bend points is $O(b^3)$ and between two consecutive bend points, we have only one vertical grid line. Hence, there are $O(b^3)$ vertical grid lines between any two consecutive vertices. Therefore, *embd*["](*G*) is of the size $b \times O(b^3n)$.

3 Nonexistence of grid obstacle representation

In this section, we show that, in \mathbb{Z}^2 , not every graph admits a grid obstacle representation². Let G = (V, E) be a C_4 -free³ graph on more than 20 vertices having at least 8n - 19 edges. The existence of such a graph is known, see for example [Für96]. We will show that *G* has no grid obstacle representation in \mathbb{Z}^2 .

A graph is called *quasiplanar* if it admits a drawing in a plane such that there does not exist three pairwise crossing edges. The maximum number of edges in a quasiplanar graph is 8n - 20 [AT07]. So, the graph *G* considered above is not quasiplanar.

Theorem 18. There exists a graph that does not admit a grid obstacle representation in \mathbb{Z}^2 .

Proof. Let G = (V, E) be a non-quasiplanar, C_4 -free graph on more than 20 vertices having at least 8n - 19 edges. Assume that G admits a grid obstacle representation with the mapping $f : V \to P$. Hence, for each $e = uv \in E$, there is an ℓ_1 -path, say \mathcal{P}_e , from f(u) to f(v) such that it does not encounter any obstacle. Since G is not quasiplanar, there exist three disjoint edges e_1, e_2 and e_3 in E such that $\mathcal{P}_{e_1}, \mathcal{P}_{e_2}, \mathcal{P}_{e_3}$ have a pairwise crossing.

Consider, for each $i \in \{1, 2, 3\}$, the path \mathcal{P}_{e_i} to be going from a point u_i to another point v_i such that the *x*-coordinates of u_i is smaller than that of v_i . Except for the case where both the

²The proof of this result was first given by János Pach [Pac16] who came to know of the grid obstacle representation from our manuscript. However, the proof presented here is different and is based on the suggestion of an anonymous reviewer of an earlier version of this paper.

³In this case C_4 -free means no subgraph of *G* is C_4 .

end points of an ℓ_1 -path have same *y*-coordinates, all the paths are either increasing (going from a point having smaller *y*-coordinate to a point having larger *y*-coordinate) or decreasing (going from a point having larger *y*-coordinate to a point having smaller *y*-coordinate). So, there must exist two paths among $\mathcal{P}_{e_1}, \mathcal{P}_{e_2}, \mathcal{P}_{e_3}$ that are either both non-decreasing or both non-increasing. Without loss of generality, assume that \mathcal{P}_{e_1} and \mathcal{P}_{e_2} are non-decreasing. Let $e_1 = u_1v_1, e_2 = u_2v_2$ and $p \in \mathbb{Z}^2$ be the grid point where \mathcal{P}_{e_1} and \mathcal{P}_{e_2} cross each other. Note that there exists an ℓ_1 -path between $f(u_1)$ and $f(v_2)$ through *p*. The path first follows P_{e_1} up to the point *p* and then it follows P_{e_2} . Similarly, there is an ℓ_1 path between $f(u_2)$ and $f(v_1)$ through *p*. This implies that both the edges $u_1v_2, u_2v_1 \in E$. Note that we have a C_4 as a subgraph on the vertex set $\{u_1, u_2, v_1, v_2\}$, which is a contradiction.

4 Hardness results

Here, we study the following problem of ℓ_1 -obstacle representability of a graph.

 ℓ_1 -obstacle representability on a given point set (ℓ_1 -OEPS)

- *Instance:* A graph G = (V, E) and a subset *S* of a polynomial sized (polynomial in |V|) grid points with |S| = |V|
- *Question:* Does there exist an ℓ_1 -obstacle representation of *G* such that the vertices of *G* are mapped to *S*?

We show that ℓ_1 -OEPS is NP-complete for subdivision of simple non-Hamiltonian planar cubic graphs. The reduction is from a restricted version of *geodesic point set embeddability (GPSE)* problem. The problem is whether a planar graph has a Manhattan-geodesic drawing, i.e., a drawing in which edges are Manhattan paths between the end points, such that the vertices are embedded onto a given set of points *S*. In the restricted version of GPSE problem, the given point set *S* is partitioned into three specific sets, say P_0 , P_1 and P_2 , where $P_0 = \{(-j, 0) | j = 0, 1, ..., 2n - 2\}$, $P_1 = \{(j, nj) | j = 1, 2, ..., k_1\}$, and $P_2 = \{(j, -nj) | j = 1, 2, ..., k_2\}$ with $k_1 + k_2 = n/2 + 1$. This restricted version is known to be NP-complete [KKRW10] for subdivision of simple non-Hamiltonian planar cubic graphs, where each edge is subdivided exactly once. The formal problem statement is as follows:

Restricted Manhattan-geodesic embeddability $((P_0, P_1, P_2)$ -GPSE)

- *Instance:* A planar graph G = (V, E) and three specific sets P_0 , P_1 and P_2 of grid points, as mentioned above, with $|P_0| + |P_1| + |P_2| = |V|$.
- *Question:* Does there exist a Manhattan-geodesic embedding of G such that the vertices of G are mapped to P_0 , P_1 and P_2 ?

Theorem 19. The problem ℓ_1 -OEPS is NP-complete for subdivision of simple non-Hamiltonian planar cubic graphs.

Proof. Note that a certificate of ℓ_1 -OEPS is a mapping f from V to S plus a set of obstacles O. Since the grid is of polynomial size, the number of obstacles is also polynomial. It is easy to see that given a certificate, we can check in polynomial time whether G realizes an ℓ_1 -obstacle representation by invoking shortest path algorithm $O(n^2)$ times. Hence, ℓ_1 -OEPS is in NP. Let G' = (V', E') be an instance of (P_0, P_1, P_2) -GPSE, i.e., G' is a subdivision of a simple non-Hamiltonian planar cubic graph, say G = (V, E), where each edge of G is subdivided exactly once. Note that |V| = n is even and |E| = 3n/2. Therefore, |V'| = 5n/2 and |E'| = 3n. For some k_1, k_2 with $k_1 + k_2 = n/2 + 1$, let $P_0 = \{(-j, 0) | j = 0, 1, ..., 2n - 2\}$, $P_1 = \{(j, nj) | j = 1, 2, ..., k_1\}$, $P_2 = \{(j, -nj) | j = 1, 2, ..., k_2\}$, $P'_1 = \{(2j, 2nj) | j = 1, 2, ..., k_1\}$, and $P'_2 = \{(2j, -2nj) | j = 1, 2, ..., k_2\}$. Let $S = P_0 \cup P_1 \cup P_2$ and $S' = P_0 \cup P'_1 \cup P'_2$. For such k_1, k_2 with $k_1 + k_2 = n/2 + 1$, the following claim shows that ℓ_1 -OEPS is NP-complete for subdivision of simple non-Hamiltonian planar cubic graphs.

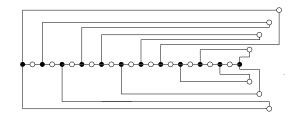


Figure 12: Geodesic embedding of G'. The solid circles correspond to the vertices in G and the hollow circles correspond to the new vertices introduced by the subdivision.

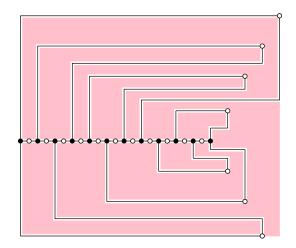
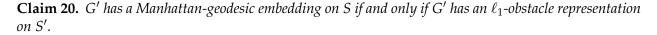


Figure 13: ℓ_1 -obstacle representation of G'.



Proof. Let *G*′ have a Manhattan-geodesic embedding on *S* (see Figure 12). From the proof of NP-hardness of GPSE [KKRW10], it follows that only vertices with degree 2 can be mapped to P_1 and P_2 and vertices of degree 2 and degree 3 alternate on P_0 from left to right. We can get an ℓ_1 -obstacle representation of *G*′ in the following way:

First, insert a grid row between every pair of consecutive rows in the grid and then insert a grid column between every pair of consecutive columns in the half where the *x*-coordinate is non-negative. After that, place obstacles everywhere in the grid except the paths given by the

Manhattan-geodesic embedding of G' (see Figure 13). Note that in this embedding, no two vertical segments of two distinct edges are next to each other in the x < 0 half-plane because all such vertical segment uses x = a line, where a is even. Hence, this is an ℓ_1 -obstacle representation of G' on S'.

Conversely, let G' have an ℓ_1 -obstacle representation on S'. First we make some claims regarding the ℓ_1 -obstacle representation of G' on S', the proofs of which are done later.

Claim 21. All the vertices that are mapped to P'_1 and P'_2 , are of degree 2.

Claim 22. No two paths between disjoint pairs of vertices share a common grid point in the ℓ_1 -obstacle representation of G' on S'.

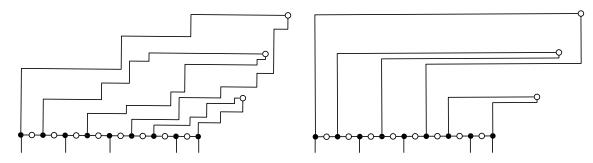


Figure 14: Modification of the Manhattan paths to obtain new ℓ_1 -obstacle representation.

By Claim 22, an ℓ_1 -obstacle representation on S' is a Manhattan geodesic embedding on S'. Given such an ℓ_1 -obstacle representation of G' on S', we modify some of the Manhattan paths of the ℓ_1 -obstacle representation to get an ℓ_1 -obstacle representation of G' on S' such that all the paths follow grid lines of the form x = a or y = b, where a and b are even and no two paths share any grid point. We explain this modification process only for the Manhattan paths between vertices of P'_1 and P_0 (see Figure 14). The Manhattan paths between the vertices of P'_2 and P_0 will be modified similarly. Note that the unique Manhattan paths between vertices of P_0 can not be modified. For each $j \in \{1, 2, ..., k_1\}$, the vertex (2j, 2nj) is of degree 2 by Claim 21. The two Manhattan paths incident on the vertex are modified as follows: let the degree 2 vertex v at (2j, 2nj) has Manhattan paths to two degree 3 vertices u_1 and u_2 on P_0 . Let the x-coordinates of u_1 and u_2 be $-2t_1$ and $-2t_2$, respectively, with $t_1 > t_2$.

- 1. First we replace the Manhattan path between v and u_1 by the path from v to $(-2t_1, 2nj)$ along y = 2nj and then to u_1 along $x = -2t_1$. Note that it is a Manhattan path with only one bend.
- 2. The new path between u_2 and v is described as follows: from u_2 , we first take vertical path upwards along $x = -2t_2$ till the point $(-2t_2, s)$, where s is even and there is a newly formed Manhattan path passing through $(-2t_2, s+2)$. Next we take the path from $(-2t_2, s)$ to (2j, s) along y = s. And finally it reaches (2j, 2nj) from (2j, s) along x = 2j. Note that it is a Manhattan path with exactly two bends.

Note that, in this new ℓ_1 -obstacle representation, every vertical segment of paths in x < 0 halfplane are not next to each other because they pass through x = a line, where a is even. Once we

have this new ℓ_1 -obstacle representation of G' on S', if we delete all the rows of the form y = b', where b' is odd, and delete all the columns of the form x = a', where a' is any positive odd number, then we have a Manhattan-geodesic embedding of G' on S.

Hence, the ℓ_1 -OEPS is NP-complete for subdivision of simple non-Hamiltonian planar cubic graphs.

Proof of Claim 21: Note that, out of the *n* vertices of degree 3, at least n - 1 vertices of degree 3 have to be mapped in P_0 because otherwise two vertices of degree 2 would be consecutive in P_0 , which is a contradiction to the fact that there is no edge between degree 2 vertices in G'. Hence, at most one degree 3 vertex can be mapped to some point in P'_1 or P'_2 . Further note that, if there is a vertex of degree 3, say v, that is mapped to some point in P'_1 or P'_2 , then the vertices mapped to (0,0) and (-2n + 2,0) is of degree 2 by the pigeonhole principle. In that case, these degree 2 vertices must be adjacent to v because degree 2 vertices are adjacent to degree 3 vertex in G' and v is the only degree 3 vertex available (other than those at (-1,0) and (-2n + 3,0)). This implies that G' has a cycle of length 2n with all of the degree 3 vertices, which is a contradiction to the fact that G is non-Hamiltonian. Hence, all the vertices that are mapped to P'_1 and P'_2 , are of degree 2.

Proof of Claim 22: Let p_1 and p_2 be two unblocked Manhattan paths in the ℓ_1 -obstacle representation of G' on S' from x_1 to y_1 and from x_2 to y_2 respectively, where $x_1, x_2 \in P_0$ with at least one of them, say x_1 , does not belongs to $\{(0,0), (-2n+2,0)\}$, and $y_1, y_2 \in P'_1$. If p_1 and p_2 share any grid point, then there would be a Manhattan path between x_1, y_2 . This implies that the degree of x_1 is 4, which is a contradiction. The argument also holds if $y_1, y_2 \in P'_2$. Also, if $y_1 \in P'_1$ and $y_2 \in P'_2$, then the paths p_1 and p_2 cannot share any grid point. Now for the case when $x_1, x_2 \in \{(0,0), (-2n+2,0)\}$, let x'_1 and x'_2 be the other end points of the Manhattan paths incident to y_1 and y_2 , respectively. With similar arguments, it follows that the degree of x'_1 and x'_2 is 4, which is a contradiction.

5 Conclusion

We have studied the grid obstacle representation of graphs. A generalized version of this, namely *geodesic obstacle representation* has been very recently studied in [BCD⁺18]. In this article, our main focus has been on the existential question of grid obstacle embedding of graphs in polynomial sized grids. We have proved that planar graphs admit grid obstacle representation in grids of size $O(n^4) \times O(n^4)$ in \mathbb{Z}^2 . Motivated by our definition of grid obstacle representation, Biedl and Mehrabi showed recently that planar graphs admit grid obstacle representations in grids of size $O(n) \times O(n)$ in \mathbb{Z}^2 [BM18]. As planar graphs admit grid obstacle representation and there exist graphs that do not, a pertinent question is to characterize the graphs that admit grid obstacle representation in \mathbb{Z}^2 . There are two associated optimality problems — given a graph *G* that admits an ℓ_1 -obstacle representation. We highlight some interesting problems in this area.

Problem 1. Characterize graphs that admit grid obstacle representation in \mathbb{Z}^2 .

There are mainly two optimization problems associated with the ℓ_1 -obstacle representation of a graph. The problems are as follows:

Problem 2. Given a graph G that admits an ℓ_1 -obstacle representation, find the ℓ_1 -obstacle number of G on \mathbb{Z}^2 .

Problem 3. Given a graph G that admits an ℓ_1 -obstacle representation, find the minimum grid size for ℓ_1 -obstacle representation of G on \mathbb{Z}^2 .

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