

# Fractional cross intersecting families

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## Abstract

Let  $\mathcal{A} = \{A_1, \dots, A_p\}$  and  $\mathcal{B} = \{B_1, \dots, B_q\}$  be two families of subsets of  $[n]$  such that for every  $i \in [p]$  and  $j \in [q]$ ,  $|A_i \cap B_j| = \frac{c}{d}|B_j|$ , where  $\frac{c}{d} \in [0, 1]$  is an irreducible fraction. We call such families  $\frac{c}{d}$ -cross intersecting families. In this paper, we find a tight upper bound for the product  $|\mathcal{A}||\mathcal{B}|$  and characterize the cases when this bound is achieved for  $\frac{c}{d} = \frac{1}{2}$ . Also, we find a tight upper bound on  $|\mathcal{A}||\mathcal{B}|$  when  $\mathcal{B}$  is  $k$ -uniform and characterize, for all  $\frac{c}{d}$ , the cases when this bound is achieved.

## 1 Introduction

Let  $[n]$  denote  $\{1, \dots, n\}$  and let  $2^{[n]}$  denote the power set of  $[n]$ . We shall use  $\binom{[n]}{k}$  to denote the set of all  $k$ -sized subsets of  $[n]$ . Let  $\mathcal{F} \subseteq 2^{[n]}$ . The family  $\mathcal{F}$  is an *intersecting family* if every two sets in  $\mathcal{F}$  intersect with each other. The famous Erdős-Ko-Rado Theorem [1] states that  $|\mathcal{F}| \leq \binom{n-1}{k-1}$  if  $\mathcal{F}$  is a  $k$ -uniform intersecting family, where  $2k \leq n$ . Several variants of the notion of intersecting families have been extensively studied in the literature. Given a set  $L = \{l_1, \dots, l_s\}$  of non-negative integers, a family  $\mathcal{F} \subseteq 2^{[n]}$  is  *$L$ -intersecting* if for all  $F_i, F_j \in \mathcal{F}, F_i \neq F_j, |F_i \cap F_j| \in L$ . Ray-Chaudhuri and Wilson in [2] showed that if  $\mathcal{F}$  is  $k$ -uniform and  $L$ -intersecting, then  $|\mathcal{F}| \leq \binom{n}{s}$  and the bound is tight. Frankl and Wilson in [3] showed a tight upper bound of  $\binom{n}{s} + \binom{n}{s-1} + \dots + \binom{n}{0}$  if the restriction on the cardinalities of the sets of an  $L$ -intersecting family is relaxed. Further, if  $L$  is a singleton set, then Fisher inequality [4] gives an upper bound of  $|\mathcal{F}| \leq n$  for the cardinality of an  $L$ -intersecting family  $\mathcal{F}$ . Recently, in [5], Balachandran et al. introduced a fractional variant of the classical  $L$ -intersecting families. For a survey on intersecting families, see [6].

Two families  $\mathcal{A}, \mathcal{B} \subseteq 2^{[n]}$  are *cross-intersecting* if  $|A \cap B| > 0, \forall A \in \mathcal{A}, B \in \mathcal{B}$ . Pyber in [7] showed that if  $n \geq 2k$ , and  $\mathcal{A}, \mathcal{B} \subseteq \binom{[n]}{k}$  is a cross-intersecting pair of families, then  $|\mathcal{A}||\mathcal{B}| \leq \binom{n-1}{k-1}^2$ . Frankl et al. in [8] showed that if  $\mathcal{A}, \mathcal{B} \subseteq \binom{[n]}{k}$  such that  $|A \cap B| \geq t$  for all  $A \in \mathcal{A}$  and  $B \in \mathcal{B}$ , then for all  $n \geq (t+1)(k-t+1)$ ,  $|\mathcal{A}||\mathcal{B}| \leq \binom{n-t}{k-t}^2$ , the cross-intersecting version of the Erdős-Ko-Rado Theorem. A cross-intersecting pair of families  $\mathcal{A}, \mathcal{B} \subseteq 2^{[n]}$  is said to be  $l$ -cross-intersecting if  $\forall A \in \mathcal{A}, B \in \mathcal{B}, |A \cap B| = l$ , for some positive integer  $l$ . Ahlswede, Cai and Zhang showed in [9], for all  $n \geq 2l$ , a simple construction of an  $l$ -cross-intersecting pair  $(\mathcal{A}, \mathcal{B})$  of families of subsets of  $[n]$  with  $|\mathcal{A}||\mathcal{B}| = \binom{2l}{l} 2^{n-2l} = \Theta\left(\frac{2^n}{\sqrt{l}}\right)$ . Later Alon and Lubetzky in [10] showed that the  $\Theta\left(\frac{2^n}{\sqrt{l}}\right)$  bound is tight and characterized the cases when the bound is achieved.

In this paper, we introduce a fractional variant of the  $l$ -cross-intersecting families. Let  $\mathcal{A} = \{A_1, \dots, A_p\}$  and  $\mathcal{B} = \{B_1, \dots, B_q\}$  be two families of subsets of  $[n]$  such that for every  $i \in [p]$  and  $j \in [q]$ ,  $|A_i \cap B_j| = \frac{c}{d}|B_j|$ , where  $\frac{c}{d} \in [0, 1]$  is an irreducible fraction. We call such an  $(\mathcal{A}, \mathcal{B})$  pair a  $\frac{c}{d}$ -cross-intersecting pair of families. Given  $c, d$ , and  $n$ , let  $\mathcal{M}_{\frac{c}{d}}(n)$  denote the maximum value of  $|\mathcal{A}||\mathcal{B}|$  where  $(\mathcal{A}, \mathcal{B})$  is a  $\frac{c}{d}$ -cross intersecting pair of families of subsets of  $[n]$ . We have the following results:

**Theorem 1.1.**  $\mathcal{M}_{\frac{c}{d}}(n) = 2^n$

When  $\frac{c}{d} = 0$ ,  $\mathcal{A} = 2^{[n]}$ ,  $\mathcal{B} = \{\emptyset\}$  is a maximal pair. In fact,  $\mathcal{A} = 2^{[k]}$ ,  $\mathcal{B} = \mathcal{P}(S)$ , where  $\mathcal{P}(S)$  is the power set of  $S = \{k+1, \dots, n\}$ , are the only maximal pairs up to a relabelling of the elements,  $0 \leq k \leq n$ . When  $\frac{c}{d} = 1$ ,  $\mathcal{A} = \{[n]\}$  and  $\mathcal{B} = 2^{[n]}$  is a maximal pair. In fact,  $\mathcal{B} = 2^{[k]}$ ,  $\mathcal{A} = \{A : A = [k] \cup T, \text{ where } T \in \mathcal{P}(S)\}$ , where  $\mathcal{P}(S)$  is the power set of  $S = \{k+1, \dots, n\}$ , are the only maximal pairs up to a relabelling of the elements,  $0 \leq k \leq n$ . In Theorem 1.2, we characterize all maximal pairs when  $\frac{c}{d} = \frac{1}{2}$ .

**Theorem 1.2.** *Let  $(\mathcal{A}, \mathcal{B})$  be a  $\frac{1}{2}$ -cross intersecting pair of families of subsets of  $[n]$  with  $|\mathcal{A}||\mathcal{B}| = 2^n$ . Then  $(\mathcal{A}, \mathcal{B})$  is one of the following  $\lfloor \frac{n}{2} \rfloor + 1$  pairs of families  $(\mathcal{A}_k, \mathcal{B}_k)$ ,  $0 \leq k \leq \lfloor \frac{n}{2} \rfloor$ , up to isomorphism.*

$$\mathcal{A}_0 = 2^{[n]} \text{ and } \mathcal{B}_0 = \{\emptyset\}$$

$$\mathcal{A}_k = \{A \in 2^{[n]} : |A \cap \{2i-1, 2i\}| = 1 \quad \forall i, 1 \leq i \leq k\}$$

$$\mathcal{B}_k = \{B \in 2^{[n]} : |B \cap \{2i-1, 2i\}| \in \{0, 2\} \quad \forall i, 1 \leq i \leq k \text{ and } \forall j > 2k, j \notin B\},$$

where  $1 \leq k \leq \lfloor \frac{n}{2} \rfloor$ .

It would be interesting to show a characterization theorem for any  $\frac{c}{d} \in [0, 1]$ . We do have such a general characterization theorem (along with a new tight upper bound) in Theorem 1.3 for the case when  $\mathcal{B}$  is  $k$ -uniform. The proof is a direct application of Theorem 1.1 in [10].

**Theorem 1.3.** *Let  $(\mathcal{A}, \mathcal{B})$  be a  $\frac{c}{d}$ -cross intersecting pair of families of subsets of  $[n]$ . Let  $\mathcal{B}$  be  $k$ -uniform. Then, there exists some  $k_0 > 0$ , such that for  $k > k_0$  we have*

$$|\mathcal{A}||\mathcal{B}| \leq \left(\frac{2ck}{\frac{ck}{d}}\right) 2^{n - \frac{2ck}{d}}$$

and the bound is tight if and only if, either (a) or (b) hold:

(a) *When  $\frac{c}{d} = 1$ ,  $\mathcal{A} = \{\{1, \dots, \kappa\}\} \times 2^Y$ ,  $\mathcal{B} = \binom{[\kappa]}{k}$  where  $Y = \{\kappa + 1, \dots, n\}$  and  $\kappa \in \{2k - 1, 2k\}$  up to a relabelling of the elements of  $[n]$ .*

(b) *When  $\frac{c}{d} \neq 1$ :*

(i) *If  $k$  is even,  $c = 1$ ,  $d = 2$ ,  $\frac{ck}{d} = \lceil \frac{k}{2} \rceil$ ,*

(ii) *If  $k$  is odd,  $c = \frac{k+1}{2}$ ,  $d = k$ ,  $\frac{ck}{d} = \lceil \frac{k}{2} \rceil$ ,*

and for both the cases (i) and (ii), there exists some  $\tau$  such that,  $k + \tau \leq n$  and up to a relabelling of the elements of  $[n]$ ,

$$\mathcal{A} = \{\cup_{T \in J} T : J \subset \{\{1, k + 1\}, \dots, \{\tau, k + \tau\}, \{\tau + 1\}, \dots, \{k\}\}, |J| = \lceil \frac{k}{2} \rceil\} \times 2^X$$

where  $X = \{k + \tau + 1, \dots, n\}$  and

$$\mathcal{B} = \{L \cup \{\tau + 1, \dots, k\} : L \subset \{1, \dots, \tau, k + 1, \dots, k + \tau\}, |L \cap \{i, k + i\}| = 1 \text{ for all } i \in [\tau]\}.$$

## 2 Notations and definitions

Given any  $S \subseteq [n]$ , we shall use  $\chi(S)$  to denote the *characteristic vector* of  $S$  which is a 0 – 1 vector of size  $n$  having its  $i^{\text{th}}$  entry equal to 1 if and only if  $i \in S$ . The *weight* of a vector is the number of non-zero entries it has, and hence weight of  $\chi(S)$  is the same as  $|S|$ .

For any family  $\mathcal{A} \subseteq 2^{[n]}$ , we shall (ab)use  $\mathcal{A}$  to denote the collection of characteristic vectors of the members of  $\mathcal{A}$  as well. The meaning will be clearly stated if not clear from the context.

Let  $V$  be a collection of vectors in  $\mathbb{F}_2^n$ . Then, we define the following:

1.  $span(V)$ : The collection of all the vectors that can be expressed as a linear combination in  $\mathbb{F}_2$  of the vectors of  $V$ . We know that  $span(V)$  is a vector space over  $\mathbb{F}_2$ .
2.  $basis(V)$ : We use  $basis(V)$  to denote the basis of  $span(V)$ .
3.  $dim(V)$ :  $dim(V) = |basis(V)|$

**Definition 1.**  $V \subseteq \mathbb{F}_2^n$  is a linear code if  $V = span(V)$ .

**Definition 2.** Given a linear code  $C \subseteq \mathbb{F}_2^n$ , the dual code  $C^\perp$  is defined as,

$$C^\perp = \{x \in \mathbb{F}_2^n \mid \langle x, c \rangle = 0, \forall c \in C\}$$

where  $\langle x, y \rangle$  is the standard inner product over  $\mathbb{F}_2$ .

The following is a well-known fact that is easy to verify.

**Lemma 2.1.** If  $C \subseteq \mathbb{F}_2^n$  is a linear code, then  $C^\perp$  is also a linear code.

**Definition 3.** Self orthogonal and self dual codes: A code  $C$  is self orthogonal if  $C \subseteq C^\perp$  and it is self dual if  $C = C^\perp$ .

### 3 Bounding $\mathcal{M}_{\frac{c}{d}}(n)$

Let  $(\mathcal{A}, \mathcal{B})$  be a  $\frac{c}{d}$ -cross-intersecting pair of families of subsets of  $[n]$ , where  $\frac{c}{d} \in [0, 1]$  is an irreducible fraction. We shall (ab)use  $\mathcal{A}, \mathcal{B}$  to denote the set of characteristic vectors of the sets in  $\mathcal{A}, \mathcal{B}$  respectively. For any  $a \in \mathcal{A}, b \in \mathcal{B}$ , we observe that  $\langle a, b \rangle \equiv |A \cap B| \pmod{2}$ , where  $a = \chi(A), b = \chi(B)$ .

Partition the family  $\mathcal{B}$  into two parts as,

$$\mathcal{B}_1 = \{B \in \mathcal{B} : |B| \equiv 0 \pmod{2d}\} \tag{1}$$

$$\mathcal{B}_2 = \{B \in \mathcal{B} : |B| \equiv d \pmod{2d}\} \tag{2}$$

As all the sets  $B \in \mathcal{B}$  have their cardinality  $|B|$  divisible by  $d$ ,  $\{\mathcal{B}_1, \mathcal{B}_2\}$  is a valid partition of  $\mathcal{B}$ . Therefore  $\forall a \in \mathcal{A}, b \in \mathcal{B}$ , using the  $\frac{c}{d}$  intersection property, we have:

$$\langle a, b \rangle = \begin{cases} 1, & \text{if } b \in \mathcal{B}_2 \text{ and } c \text{ is odd} \\ 0, & \text{otherwise} \end{cases}$$

**Construction 1.** Construct a set  $\mathcal{B}'_1$ , by appending a 0 to the left of every vector in  $\mathcal{B}_1$ , and a set  $\mathcal{B}'_2$  by appending a 1 to the left of every vector in  $\mathcal{B}_2$ . Let  $\mathcal{B}' = \mathcal{B}'_1 \cup \mathcal{B}'_2$ . Construct a set  $\mathcal{A}'$  by appending a 1 to the left of every vector in  $\mathcal{A}$ .

We now have, the value of

$$\langle a, b \rangle = 0 \quad \forall a \in \mathcal{A}', b \in \mathcal{B}'$$

So,  $(\text{span}(\mathcal{A}'), \text{span}(\mathcal{B}'))$  is a pair of mutually orthogonal subspaces of  $\mathbb{F}_2^{n+1}$  over  $\mathbb{F}_2$ . We thus have,

$$\dim(\text{span}(\mathcal{A}')) + \dim(\text{span}(\mathcal{B}')) \leq n + 1$$

So, it follows that

$$\begin{aligned} |\text{span}(\mathcal{A}')| \cdot |\text{span}(\mathcal{B}')| &= 2^{\dim(\text{span}(\mathcal{A}'))} \cdot 2^{\dim(\text{span}(\mathcal{B}'))} \\ &= 2^{\dim(\text{span}(\mathcal{A}')) + \dim(\text{span}(\mathcal{B}'))} \\ &\leq 2^{n+1} \end{aligned} \tag{3}$$

**Lemma 3.1.** *If the elements of a linear code  $C \subseteq \mathbb{F}_2^n$  are arranged as rows of a matrix  $M_C$  with  $n$  columns, then for each column, one of the following holds,*

- (i) *All the entries in that column are 0*
- (ii) *Exactly half the entries in that column are 0, and the rest are 1.*

*Proof.* As  $C$  is a linear code, if we pick any  $a \in C$ , and consider the set  $S = \{a + x | x \in C\}$  where  $a + x$  is the vector addition in  $\mathbb{F}_2^n$ , then by the definition of a linear code  $S = C$ . Let  $M_S$  be a matrix whose rows are the vectors of  $S$ , taken in any order.  $M_S$  and  $M_C$  have the same set of rows (only their order may differ).

Let  $j \in [n]$ . Column  $j$  in  $M_C$  and  $M_S$  have the same number of 1's (and 0's). Suppose (i) does not hold for column  $j$  in  $M_C$ . Then, some row, say  $a$ , in  $M_C$  has its  $j^{\text{th}}$  entry as 1. Let  $S$ , and thereby  $M_S$ , be defined according to this vector  $a$ . From the definition of  $S$ , it is clear that the number of 1's in the  $j^{\text{th}}$  column of  $M_S$  is equal to the number of 1's in the  $j^{\text{th}}$  column of  $M_C$ . Since adding  $a$  to any  $\{0, 1\}$  vector flips the  $j^{\text{th}}$  coordinate of  $v$ , we conclude that (ii) holds for  $M_C$ .  $\square$

**Corollary 3.2.**  $|\text{span}(\mathcal{A}')| \geq 2|\mathcal{A}'|$

*Proof.* The leftmost column of  $\mathcal{M}_{\mathcal{A}'}$  does not contain any 0. As  $\text{span}(\mathcal{A}')$  is a linear code and  $\mathcal{A}' \subseteq \text{span}(\mathcal{A}')$ , by condition (ii) of Lemma 3.1 above,  $\text{span}(\mathcal{A}')$  must have at least  $|\mathcal{A}'|$  more elements having their leftmost entry as 0.  $\square$

Now we prove the main result of this section which is Theorem 1.1.

**Statement of Theorem 1.1:**  $\mathcal{M}_{\frac{c}{d}}(n) = 2^n$

*Proof.*  $\mathcal{A} = 2^{[n]}$ ,  $\mathcal{B} = \{\emptyset\}$  is a trivial example of a  $\frac{c}{d}$  cross-intersecting pair of families having  $|\mathcal{A}||\mathcal{B}| = 2^n$ . Thus,  $\mathcal{M}_{\frac{c}{d}}(n) \geq 2^n$ . The proof of the upper bound for  $\mathcal{M}_{\frac{c}{d}}(n)$  follows from Inequality (3) and Corollary 3.2. Let  $(\mathcal{A}, \mathcal{B})$  be a  $\frac{c}{d}$  cross-intersecting pair of families of subsets of  $[n]$ . Let  $\mathcal{A}'$ ,  $\mathcal{B}'$  be constructed from  $\mathcal{A}$ ,  $\mathcal{B}$ , respectively, as explained in the beginning of this section. Note that  $|\mathcal{A}'| = |\mathcal{A}|$  and  $|\mathcal{B}'| = |\mathcal{B}|$  by construction.

$$\begin{aligned}
2^{n+1} &\geq |\text{span}(\mathcal{A}')| \cdot |\text{span}(\mathcal{B}')| && \text{[from (3)]} \\
&\geq 2 \cdot |\mathcal{A}'| \cdot |\text{span}(\mathcal{B}')| && \text{[from Corollary 3.2]} \\
&\geq 2 \cdot |\mathcal{A}'| \cdot |\mathcal{B}'| \\
&= 2 \cdot |\mathcal{A}| \cdot |\mathcal{B}| && \text{[by construction]}
\end{aligned}$$

□

## 4 Characterization of maximal pairs when $\frac{c}{d} = \frac{1}{2}$

**Definition 4.** *Cross bisecting pair of families:* A pair of families of subsets of  $[n]$  is called a cross-bisecting pair if it is a  $\frac{1}{2}$  cross-intersecting pair.  $(\mathcal{A}, \mathcal{B})$  is called a maximal cross bisecting or simply a maximal pair, if it is a cross bisecting pair and  $|\mathcal{A}||\mathcal{B}| = 2^n$ .

For example,  $\mathcal{A} = 2^{[n]}$  and  $\mathcal{B} = \{\emptyset\}$  is a trivial maximal pair. In this section, we characterize all maximal pairs. Let  $(\mathcal{A}, \mathcal{B})$  be a cross bisecting pair and let  $(\mathcal{A}', \mathcal{B}')$  be the associated pair constructed by appending bits as defined in the previous section.

**Definition 5.** Let  $f_{\mathcal{A}} : \mathcal{A} \rightarrow \mathcal{A}'$  be a bijective mapping that maps every vector in  $\mathcal{A}$  to its corresponding vector in  $\mathcal{A}'$ , and let  $g_{\mathcal{A}} : \mathcal{A}' \rightarrow \mathcal{A}$  be its inverse. Likewise, define functions  $f_{\mathcal{B}}$  and  $g_{\mathcal{B}}$  between  $\mathcal{B}$  and  $\mathcal{B}'$ . For any set  $V \subseteq \mathcal{A}$ , we shall use,  $f_{\mathcal{A}}(V)$  to denote  $\{f_{\mathcal{A}}(A) \mid A \in V\}$  and for any  $V \subseteq \mathcal{A}'$ , we use  $g_{\mathcal{A}}(V)$  to denote  $\{g_{\mathcal{A}}(A) \mid A \in V\}$ . Similarly, for any  $V \subseteq \mathcal{B}$ , we use,  $f_{\mathcal{B}}(V)$  to denote  $\{f_{\mathcal{B}}(B) \mid B \in V\}$  and for any  $V \subseteq \mathcal{B}'$ ,  $g_{\mathcal{B}}(V)$  to denote  $\{g_{\mathcal{B}}(B) \mid B \in V\}$

**Observation 1.**  $f_{\mathcal{B}}(\mathcal{B}_1) = \mathcal{B}'_1$  and  $f_{\mathcal{B}}(\mathcal{B}_2) = \mathcal{B}'_2$ . Similarly,  $g_{\mathcal{B}}(\mathcal{B}'_1) = \mathcal{B}_1$  and  $g_{\mathcal{B}}(\mathcal{B}'_2) = \mathcal{B}_2$

Suppose  $(\mathcal{A}, \mathcal{B})$  is a maximal pair. Then from the proof of Theorem 1.1, we must have :

$$|\text{span}(\mathcal{A}')| = 2|\mathcal{A}'| \quad (4)$$

$$|\text{span}(\mathcal{B}')| = |\mathcal{B}'| \quad (5)$$

$$\dim(\text{span}(\mathcal{A}')) + \dim(\text{span}(\mathcal{B}')) = n + 1 \quad (6)$$

**Proposition 4.1.**  $\mathcal{B} = \text{span}(\mathcal{B})$ . Further,  $f_{\mathcal{B}}$  is a linear map.

*Proof.* This follows from equation (5). Let  $x_1, x_2 \in \mathcal{B}$ . We show that  $x_3 = x_1 + x_2 \in \mathcal{B}$ . This would imply  $\mathcal{B}$  is closed under addition in  $\mathbb{F}_2^n$  over  $\mathbb{F}_2$ , and hence  $\mathcal{B} = \text{span}(\mathcal{B})$ .

Let  $x'_1 = f_{\mathcal{B}}(x_1)$  and  $x'_2 = f_{\mathcal{B}}(x_2)$ . From Equation (5), we have,  $w = x'_1 + x'_2 \in \mathcal{B}'$ . Since  $w$  and  $x_3$  agree on each of the rightmost  $n$  bits of  $x_3$ , we have  $g_{\mathcal{B}}(w) = x_3$ . Since  $w \in \mathcal{B}'$ , from the definition of the function  $g_{\mathcal{B}}$  we have  $x_3 = g_{\mathcal{B}}(w) \in \mathcal{B}$ . Further, observe that  $f_{\mathcal{B}}(x_1) + f_{\mathcal{B}}(x_2) = w = f_{\mathcal{B}}(x_3) = f_{\mathcal{B}}(x_1 + x_2)$  and hence  $f_{\mathcal{B}}$  is a linear map.  $\square$

That  $\mathcal{B}$  is a linear code from Proposition 4.1 implies closure of the family of subsets  $\mathcal{B}$  under symmetric difference. In fact, we have the following stronger result.

**Proposition 4.2.** Let vectors  $b_1, b_2 \in \mathcal{B}$ . Then,  $b_1 + b_2 \in \mathcal{B}_1$  if and only if either  $b_1, b_2 \in \mathcal{B}_1$ , or  $b_1, b_2 \in \mathcal{B}_2$ . Otherwise,  $b_1 + b_2 \in \mathcal{B}_2$ .

*Proof.* We prove the 2-way implication, and rest of the proposition follows from Proposition 4.1. Let  $b'_1 = f_{\mathcal{B}}(b_1), b'_2 = f_{\mathcal{B}}(b_2)$ .

- $b_1 + b_2 \in \mathcal{B}_1 \Rightarrow b_1$  and  $b_2$  are both from  $\mathcal{B}_1$ , or both from  $\mathcal{B}_2$   
 Since  $f_{\mathcal{B}}$  is a linear map, we have  $(b_1 + b_2 \in \mathcal{B}_1) \Rightarrow (f_{\mathcal{B}}(b_1 + b_2) = f_{\mathcal{B}}(b_1) + f_{\mathcal{B}}(b_2) = b'_1 + b'_2 \in \mathcal{B}'_1)$ . So, the leftmost bit of  $b'_1 + b'_2$  is 0. This means that the leftmost bit must be the same in  $b'_1$  and  $b'_2$ , which directly implies that either  $b'_1, b'_2 \in \mathcal{B}'_1$ , or  $b'_1, b'_2 \in \mathcal{B}'_2$ .
- Either  $b_1, b_2 \in \mathcal{B}_1$ , or  $b_1, b_2 \in \mathcal{B}_2 \Rightarrow b_1 + b_2 \in \mathcal{B}_1$   
 Since  $b'_1$  and  $b'_2$  agree upon the leftmost bit,  $b'_1 + b'_2$  has a 0 in its leftmost bit. So,  $b'_1 + b'_2 \in \mathcal{B}'_1$ . From the Observation 1 above, we have  $b_1 + b_2 \in \mathcal{B}_1$ .

$\square$

**Proposition 4.3.**  $\mathcal{B}$  is a self-orthogonal code.

*Proof.* We prove the proposition by showing that  $\forall b_1, b_2 \in \mathcal{B}, \langle b_1, b_2 \rangle = 0$ . Let  $B_1, B_2$  be the sets corresponding to the vectors  $b_1, b_2$ , respectively. Since we are operating in the field  $\mathbb{F}_2$ , it is enough to show that  $|B_1 \cap B_2|$  is even.

Let  $b_3 = b_1 + b_2$ . We observe that  $b_3$  is the characteristic vector of  $B_3 = B_1 \Delta B_2$ , the symmetric difference of  $B_1$  and  $B_2$ . We have,

$$|B_3| = |B_1 \Delta B_2| = |B_1| + |B_2| - 2|B_1 \cap B_2| \quad (7)$$

As  $\frac{c}{d} = \frac{1}{2}$ ,  $\forall B \in \mathcal{B}_1$ , we have  $|B| \equiv 0 \pmod{4}$ . By Proposition 4.1,  $B_1 \Delta B_2 = B_3 \in \mathcal{B}$  as  $\mathcal{B}$  is a linear code. Taking equation (7) modulo 4, if  $B_3 \in \mathcal{B}_1$ , then

$$|B_1| + |B_2| - 2|B_1 \cap B_2| \equiv 0 \pmod{4}$$

By Proposition 4.2, both  $B_1$  and  $B_2$  are either from  $\mathcal{B}_1$  or from  $\mathcal{B}_2$ . In both cases,  $|B_1| + |B_2| \equiv 0 \pmod{4}$ . Therefore,  $2|B_1 \cap B_2| \equiv 0 \pmod{4}$  or  $|B_1 \cap B_2| \equiv 0 \pmod{2}$ . If  $B_3 \in \mathcal{B}_2$ , then

$$|B_1| + |B_2| - 2|B_1 \cap B_2| \equiv |B_3| \equiv 2 \pmod{4}$$

Again by Proposition 4.2,  $|B_1| + |B_2| \equiv 2 \pmod{4}$ . So, we have  $2|B_1 \cap B_2| \equiv 0 \pmod{4}$  or  $|B_1 \cap B_2| \equiv 0 \pmod{2}$ . Thus in both cases,  $|B_1 \cap B_2|$  is even, so  $\mathcal{B}$  is a self-orthogonal code.  $\square$

**Lemma 4.4.** *Let  $(\mathcal{A}, \mathcal{B})$  be a maximal pair, then  $|\mathcal{B}| \leq 2^{\lfloor \frac{n}{2} \rfloor}$*

*Proof.* It is a known result (see [11]) that for a linear code  $C \subseteq \mathbb{F}_2^n$  and its dual code  $C^\perp$ ,

$$\dim(C) + \dim(C^\perp) = n \quad (8)$$

For any self-orthogonal code  $C$ ,  $C \subseteq C^\perp$ . So,

$$\dim(C) \leq \dim(C^\perp)$$

Applying equation (8) in this inequality, we get

$$n = \dim(C) + \dim(C^\perp) \geq 2\dim(C)$$

$$\text{Therefore, } \dim(C) \leq \frac{n}{2}$$

Since  $\mathcal{B}$  is a self-orthogonal code (Proposition 4.3), we get  $\dim(\mathcal{B}) \leq \frac{n}{2}$ . Hence,

$$|\mathcal{B}| \leq 2^{\lfloor \frac{n}{2} \rfloor}$$

$\square$



**Proposition 4.5.** *If a set  $A$  bisects  $B_1$ ,  $B_2$  and  $B_1 \Delta B_2$ , then  $A$  also bisects  $B_1 \cap B_2$ .*

*Proof.*

$$\begin{aligned}
|A \cap (B_1 \Delta B_2)| &= \frac{|B_1 \Delta B_2|}{2} [A \text{ bisects } B_1 \Delta B_2] \\
\Rightarrow |A \cap ((B_1 \setminus B_2) \cup (B_2 \setminus B_1))| &= \frac{|B_1| + |B_2| - 2|B_1 \cap B_2|}{2} \\
\Rightarrow |A \cap (B_1 \setminus B_2)| + |A \cap (B_2 \setminus B_1)| &= \frac{|B_1|}{2} + \frac{|B_2|}{2} - |B_1 \cap B_2| \\
\Rightarrow |A \cap B_1| - |A \cap (B_1 \cap B_2)| + |A \cap B_2| - |A \cap (B_1 \cap B_2)| &= \frac{|B_1|}{2} + \frac{|B_2|}{2} - |B_1 \cap B_2| \\
\Rightarrow \frac{|B_1|}{2} + \frac{|B_2|}{2} - 2|A \cap (B_1 \cap B_2)| &= \frac{|B_1|}{2} + \frac{|B_2|}{2} - |B_1 \cap B_2| \\
&\quad [\text{since } A \text{ bisects both } B_1 \text{ and } B_2] \\
\Rightarrow 2|A \cap (B_1 \cap B_2)| &= |B_1 \cap B_2| \\
\Rightarrow |A \cap (B_1 \cap B_2)| &= \frac{|B_1 \cap B_2|}{2}
\end{aligned}$$

□

**Proposition 4.6.**  *$\mathcal{B}$  is closed under intersection.*

*Proof.* Let  $B_1, B_2 \in \mathcal{B}$ . We show that  $B_1 \cap B_2 \in \mathcal{B}$ . By Proposition 4.1,  $b_1 + b_2 \in \mathcal{B}$  i.e.,  $B_1 \Delta B_2 \in \mathcal{B}$ . Let  $A$  be any arbitrary member of  $\mathcal{A}$ . Now,  $A$  bisects  $B_1, B_2$  and  $B_1 \Delta B_2$  as  $(\mathcal{A}, \mathcal{B})$  is a cross bisecting pair. By Proposition 4.5,  $A$  bisects  $B_1 \cap B_2$ . Since  $(\mathcal{A}, \mathcal{B})$  is a maximal pair, we conclude that  $B_1 \cap B_2 \in \mathcal{B}$ . □

Now, we prove the main result of this section, Theorem 1.2, the characterization of maximal pairs.

**Statement of Theorem 1.2:** *Let  $(\mathcal{A}, \mathcal{B})$  be a  $\frac{1}{2}$ -cross intersecting pair of families of subsets of  $[n]$  with  $|\mathcal{A}||\mathcal{B}| = 2^n$ . Then  $(\mathcal{A}, \mathcal{B})$  is one of the following  $\lfloor \frac{n}{2} \rfloor + 1$  pairs of families  $(\mathcal{A}_k, \mathcal{B}_k)$ ,  $0 \leq k \leq \lfloor \frac{n}{2} \rfloor$ , up to isomorphism.*

$$\mathcal{A}_0 = 2^{[n]} \text{ and } \mathcal{B}_0 = \{\emptyset\}$$

$$\mathcal{A}_k = \{A \in 2^{[n]} : |A \cap \{2i-1, 2i\}| = 1 \quad \forall i, 1 \leq i \leq k\}$$

$$\mathcal{B}_k = \{B \in 2^{[n]} : |B \cap \{2i-1, 2i\}| \in \{0, 2\} \quad \forall i, 1 \leq i \leq k \text{ and } \forall j > 2k, j \notin B\},$$

where  $1 \leq k \leq \lfloor \frac{n}{2} \rfloor$ .

By isomorphism, it is meant that for any maximal pair  $(\mathcal{A}, \mathcal{B})$ ,  $\exists$  a bijective mapping  $f : [n] \rightarrow [n]$  such that if every  $A \in \mathcal{A}$  is replaced by  $A_f = \{f(i) | i \in A\}$  and every  $B \in \mathcal{B}$  is replaced by  $B_f = \{f(i) | i \in B\}$  then the families  $(\mathcal{A}_f, \mathcal{B}_f)$ , where  $\mathcal{A}_f = \{A_f | A \in \mathcal{A}\}$  and  $\mathcal{B}_f = \{B_f | B \in \mathcal{B}\}$ , is a maximal pair which is one of  $(\mathcal{A}_k, \mathcal{B}_k)$ ,  $0 \leq k \leq \lfloor \frac{n}{2} \rfloor$ .

*Proof.* Consider a maximal pair  $(\mathcal{A}, \mathcal{B})$  where  $\mathcal{B} \neq \{\emptyset\}$ . We write the elements of  $\mathcal{B}$  as rows of a 0 – 1 matrix  $M_0$ . Suppose  $n_0$  columns have only 0 entries in all the rows ( $n_0$  may be 0). As the characterization is up to isomorphism, we may assume that these are the rightmost  $n_0$  columns of the matrix  $M_0$ . In each of the remaining  $n - n_0$  columns, from Lemma 3.1, there are exactly  $\frac{|\mathcal{B}|}{2}$  1's and  $\frac{|\mathcal{B}|}{2}$  0's as  $\mathcal{B}$  is a linear code. (by Proposition 4.1)

Define

$$B_1 = \bigcap_{\substack{1 \in B, \\ B \in \mathcal{B}}} B$$

We write the  $\frac{|\mathcal{B}|}{2}$  rows containing 1 in the leftmost column of  $M_0$  as the top  $\frac{|\mathcal{B}|}{2}$  rows to obtain a new matrix  $M_1$  from  $M_0$ . And  $B_1$  is one of these rows according to Proposition 4.6. Moreover, as all intersections are of even cardinality (Proposition 4.3),  $|B_1|$  is even.

Let  $|B_1| = 2i_1$ ,  $i_1 \geq 1$ . So, there are  $2i_1 - 1$  elements in  $B_1$  other than the element 1. Due to isomorphism, we may assume them to be  $2, 3, \dots, 2i_1$ .

If  $2i_1 + 1 \leq n - n_0$ , then define the set  $B_2$  as:

$$B_2 = \bigcap_{\substack{2i_1+1 \in B, \\ B \in \mathcal{B}}} B$$

**Claim 4.7.**  $1 \notin B_2$

*Proof.* Assume for the sake of contradiction,  $1 \in B_2$ . This implies that for all the  $\frac{|\mathcal{B}|}{2}$  sets which contain the element  $2i_1 + 1$  also contain the element 1. From Lemma 3.1, (number of sets in  $\mathcal{B}$  that contain the element 1) = (number of sets in  $\mathcal{B}$  that contain the element  $2i_1 + 1$ ) =  $\frac{|\mathcal{B}|}{2}$ . Hence, for any  $B \in \mathcal{B}$ ,  $1 \in B \iff 2i_1 + 1 \in B$ . This implies that  $2i_1 + 1 \in B_1$ , which is a contradiction. Hence,  $1 \notin B_2$  and therefore  $B_2$  does not belong to the top  $\frac{|\mathcal{B}|}{2}$  rows of  $M_1$ .  $\square$

**Claim 4.8.**  $B_1 \cap B_2 = \emptyset$

*Proof.* Assume for the sake of contradiction,  $x \in B_1 \cap B_2$ . Then  $x$  is present in the  $\frac{|\mathcal{B}|}{2}$  rows of the matrix  $M_1$  whose intersection yields  $B_1$ . Since  $x \in B_2$  and  $B_2$  does not belong to these  $\frac{|\mathcal{B}|}{2}$  rows of  $M_1$  (by Claim 4.7). Thus, we have the element  $x$  present in at least  $\frac{|\mathcal{B}|}{2} + 1$  rows of  $M_1$ , contradicting Lemma 3.1.  $\square$

We take the rows corresponding to the sets containing the  $(2i_1 + 1)^{th}$  element that are not among the first  $\frac{|\mathcal{B}|}{2}$  rows in  $M_1$  and arrange them below the top  $\frac{|\mathcal{B}|}{2}$  rows to create a matrix called  $M_2$  from  $M_1$ . Again from Proposition 4.3,  $|B_2|$  is even, say  $2i_2$ . Due to isomorphism and Claim 4.8, we may assume that  $2i_1 + 1, \dots, 2i_1 + 2i_2$  are these  $2i_2$  elements.

If  $2i_1 + 2i_2 + 1 \leq n - n_0$ , then define,

$$B_3 = \bigcap_{\substack{2i_1+2i_2+1 \in B, \\ B \in \mathcal{B}}} B$$

**Claim 4.9.**  $1 \notin B_3$  and  $2i_1 + 1 \notin B_3$ .

The proof is similar to that of Claim 4.7

**Claim 4.10.**  $B_1 \cap B_3 = \emptyset$  and  $B_2 \cap B_3 = \emptyset$ .

The proof is again similar to that of Claim 4.8.

We take the rows corresponding to the sets containing the  $(2i_1 + 2i_2 + 1)^{th}$  element that are not among the first  $r$  rows ( $r > \frac{|\mathcal{B}|}{2}$ ) in  $M_2$  which contain the elements 1 or  $2i_1 + 1$  and arrange them below the top  $r$  rows of  $M_2$  to create a matrix called  $M_3$  from  $M_2$ . From Proposition 4.3 and the definition of  $B_3$ , we have  $|B_3| = 2i_3$ ,  $i_3 \geq 1$ . Due to isomorphism and Claim 4.10, we may assume that  $2i_1 + 2i_2 + 1, \dots, 2i_1 + 2i_2 + 2i_3$  are these  $2i_3$  elements.

We continue in this manner for  $k$  steps by constructing sets  $B_1, \dots, B_k$  and matrices  $M_1, \dots, M_k$ , where  $k \geq 1$ , until we have  $2i_1 + \dots + 2i_k = n - n_0$ . Observe that  $B_1, \dots, B_k$  and  $P = \{n - n_0 + 1, \dots, n\}$  is a partition of  $[n]$ .

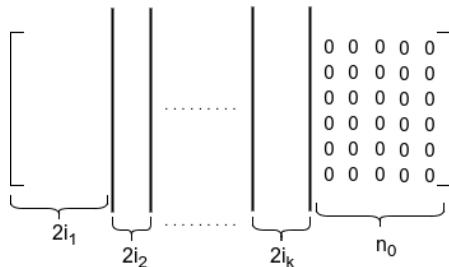


Figure 1: Partitioning the universe and thereby the columns of  $M_k$

**Claim 4.11.** For any set  $B \in \mathcal{B}$ ,  $j \in [k]$ , we have  $B \cap B_j \in \{\emptyset, B_j\}$ . Further,  $B \cap P = \emptyset$ .

*Proof.* From the definition of  $P$ , we have  $B \cap P = \emptyset$ . Let  $j \in [k]$ . Since  $B_j$  is equal to the intersection of some  $\frac{|\mathcal{B}|}{2}$  sets in  $\mathcal{B}$ , we have  $B_j$  present as a subset of all these  $\frac{|\mathcal{B}|}{2}$  sets. Applying Lemma 3.1, we can say that no element of  $B_j$  is present in any set in  $\mathcal{B}$  other than these  $\frac{|\mathcal{B}|}{2}$  sets. Hence, the claim.  $\square$



## 5 Tight upper bound on $M_{\frac{c}{d}}(n)$ when $\mathcal{B}$ is $k$ -uniform and characterization of the cases when the bound is achieved

Let  $(\mathcal{A}, \mathcal{B})$  be a  $\frac{c}{d}$  cross-intersecting pair of families of subsets of  $[n]$ , where  $\frac{c}{d} \in [0, 1]$  is an irreducible fraction. In this section, we deal with the scenario when  $\mathcal{B}$  is  $k$ -uniform, where  $0 < k \leq n$ . Since  $\mathcal{B}$  is  $k$ -uniform, for any  $A \in \mathcal{A}$  and any  $B \in \mathcal{B}$ ,  $|A \cap B| = \frac{ck}{d} = l$ . Since  $c$  is relatively prime with  $d$ , and  $|A \cap B|$  is an integer, we have  $k$  divisible by  $d$ . Therefore, we have a uniformly cross intersecting pair of families.

Alon and Lubetzky in [10] found a tight upper bound for the case of uniformly cross intersecting families and fully characterized the cases when the bound is achieved in the following theorem:

**Theorem 5.1.** [Theorem 1.1 in [10]] *There exists some  $l_0 > 0$  such that, for all  $l \geq l_0$ , every  $l$ -cross intersecting pair  $\mathcal{A}, \mathcal{B} \subset 2^{[n]}$  satisfies:*

$$|\mathcal{A}||\mathcal{B}| \leq \binom{2l}{l} 2^{n-2l}$$

Furthermore, if  $|\mathcal{A}||\mathcal{B}| = \binom{2l}{l} 2^{n-2l}$ , then there exists some choice of parameters  $\kappa, \tau, n'$ :

$$\begin{aligned} \kappa &\in \{2l - 1, 2l\}, \tau \in \{0, \dots, \kappa\} \\ \kappa + \tau &\leq n' \leq n \end{aligned}$$

such that upto a relabelling of the elements of  $[n]$  and swapping  $\mathcal{A}, \mathcal{B}$ , the following holds:

$$\begin{aligned} \mathcal{A} &= \left\{ \bigcup_{T \in J} T : J \subset \{\{1, \kappa + 1\}, \dots, \{\tau, \kappa + \tau\}, \{\tau + 1\}, \dots, \{\kappa\}\}, |J| = l \right\} \times 2^X, \\ \mathcal{B} &= \left\{ L \cup \{\tau + 1, \dots, \kappa\} : L \subset \{1, \dots, \tau, \kappa + 1, \dots, \kappa + \tau\}, |L \cap \{i, \kappa + i\}| = 1 \text{ for} \right. \\ &\quad \left. \text{all } i \in [\tau] \right\} \times 2^Y \end{aligned}$$

where  $X = \{\kappa + \tau + 1, \dots, n'\}$  and  $Y = \{n' + 1, \dots, n\}$ .

Let  $(\mathcal{A}, \mathcal{B})$  be a  $\frac{c}{d}$  cross-intersecting family where  $\mathcal{B}$  is  $k$ -uniform. From Theorem 5.1, there exists a  $k_0 > 0$  such that if  $\frac{ck}{d} = l > k_0$ , then  $|\mathcal{A}||\mathcal{B}| \leq \binom{2l}{l} 2^{n-2l}$ . Consider the case when  $\mathcal{B}$  corresponds to  $\mathcal{B}$  of Theorem 5.1. If  $|\mathcal{A}||\mathcal{B}| = \binom{2l}{l} 2^{n-2l}$ , then  $n' = n$ ,  $Y = \emptyset$ , and  $k = \kappa$  in the statement of Theorem 5.1. Since  $l = \frac{ck}{d}$  and  $k \in \{\frac{2ck}{d} - 1, \frac{2ck}{d}\}$ , we have the following two cases:

**Case 1:**  $k = \frac{2ck}{d} - 1$ . Then,  $(k+1)d = 2ck$ . Since  $\gcd(c, d) = 1$  and  $\gcd(k, k+1) = 1$ , we have  $k|d|2k$ . Thus,  $d = k$  or  $d = 2k$ . We claim that  $d = 2k$  is an invalid case.

This is because, when  $d = 2k$ , we have  $c = k + 1$ . Since  $\gcd(c, d) = 1$ ,  $k$  cannot be odd. And if  $k$  is even, then  $l = \frac{ck}{d} = \frac{k+1}{2}$  is not an integer. So, the only valid case is  $d = k$ ,  $c = \frac{k+1}{2} = l$  and  $k$  is an odd integer.

**Case 2:**  $k = \frac{2ck}{d}$ . Then,  $\frac{c}{d} = \frac{1}{2}$ , that is  $(\mathcal{A}, \mathcal{B})$  is a cross bisecting pair. Since  $l = \frac{ck}{d} = \frac{k}{2}$  is an integer,  $k$  must be even in this case.

If  $\mathcal{B}$  corresponds to  $\mathcal{A}$  of Theorem 5.1, we have  $X = \emptyset$ ,  $\tau = 0$ ,  $\mathcal{B}$  is  $k(=l)$ -uniform,  $l = \frac{ck}{d}$ . Thus, we have  $\frac{c}{d} = 1$ ,  $\mathcal{A} = \{\{1, \dots, \kappa\}\} \times 2^Y$  where  $Y = \{\kappa + 1, \dots, n\}$  and  $\mathcal{B} = \binom{[\kappa]}{k}$ ,  $\kappa \in \{2k - 1, 2k\}$  up to a relabelling of the elements.

This leads us to the main result of this section.

**Statement of Theorem 1.3:** *Let  $(\mathcal{A}, \mathcal{B})$  be a  $\frac{c}{d}$ -cross intersecting pair of families of subsets of  $[n]$ . Let  $\mathcal{B}$  be  $k$ -uniform. Then, there exists some  $k_0 > 0$ , such that for  $k > k_0$  we have*

$$|\mathcal{A}||\mathcal{B}| \leq \binom{\frac{2ck}{d}}{\frac{ck}{d}} 2^{n - \frac{2ck}{d}}$$

and the bound is tight if and only if, either (a) or (b) hold:

(a) When  $\frac{c}{d} = 1$ ,  $\mathcal{A} = \{\{1, \dots, \kappa\}\} \times 2^Y$ ,  $\mathcal{B} = \binom{[\kappa]}{k}$  where  $Y = \{\kappa + 1, \dots, n\}$  and  $\kappa \in \{2k - 1, 2k\}$  up to a relabelling of the elements of  $[n]$ .

(b) When  $\frac{c}{d} \neq 1$ :

(i) If  $k$  is even,  $c = 1$ ,  $d = 2$ ,  $\frac{ck}{d} = \lceil \frac{k}{2} \rceil$ ,

(ii) If  $k$  is odd,  $c = \frac{k+1}{2}$ ,  $d = k$ ,  $\frac{ck}{d} = \lceil \frac{k}{2} \rceil$ ,

and for both the cases (i) and (ii), there exists some  $\tau$  such that,  $k + \tau \leq n$  and up to a relabelling of the elements of  $[n]$ ,

$$\mathcal{A} = \{\cup_{T \in J} T : J \subset \{\{1, k + 1\}, \dots, \{\tau, k + \tau\}, \{\tau + 1\}, \dots, \{k\}\}, |J| = \lceil \frac{k}{2} \rceil\} \times 2^X$$

where  $X = \{k + \tau + 1, \dots, n\}$  and

$$\mathcal{B} = \{L \cup \{\tau + 1, \dots, k\} : L \subset \{1, \dots, \tau, k + 1, \dots, k + \tau\}, |L \cap \{i, k + i\}| = 1 \text{ for all } i \in [\tau]\}.$$

## 6 Discussion

What are those pairs of  $\frac{c}{d}$ -cross intersecting families  $(\mathcal{A}, \mathcal{B})$  which achieve  $|\mathcal{A}||\mathcal{B}| = 2^n$  (equal to the upper bound for  $\mathcal{M}_{\frac{c}{d}}(n)$  proved in Theorem 1.1)? In the introduction we characterize such families when  $\frac{c}{d} = 0$  and  $\frac{c}{d} = 1$ . In Theorem 1.2, we

characterize such families when  $\frac{c}{d} = \frac{1}{2}$ . From Theorem 1.3, we see that when  $\mathcal{B}$  is  $k$ -uniform,  $|\mathcal{A}||\mathcal{B}|$  is maximized when  $\frac{c}{d}$  is 1 or nearly  $\frac{1}{2}(\frac{1}{2} \text{ or } \frac{1}{2} + \frac{1}{2k})$ . For  $\frac{c}{d} \in (0, 1)$ , besides the case  $\mathcal{A} = 2^{[n]}$ ,  $\mathcal{B} = \{\emptyset\}$ , is  $|\mathcal{A}||\mathcal{B}| = 2^n$  achieved only when  $\frac{c}{d}$  is close to  $\frac{1}{2}$ ?

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